

# NATIONAL CAPITAL REGION TRANSPORT CORPORATION LIMITED

(A Joint Venture Govt. of India and participating State Govts.)

Gati Shakti Bhawan, INA, New Delhi -110023

PHONE: 011-2466 6700, Web: www.ncrtc.in

## ADDENDUM & CORRIGENDUM NO. 03

### Rolling Expression Of Interest (EoI) For Empanelment Of Business Partners.

S.No	Existing Clause	Modified Clause															
1.	<p>2.3 Clause: In order to be eligible for award of marks under this criterion, the firm shall submit documentary evidence substantiating the relevant experience in the works similar to specialization/s they seek to get empanelled for. Firms are required to submit details of number of assignments, successfully completed/ substantially completed during last 10 years from *EOI Notification Date for specialization selected for empanelment</p> <p><b>Minimum value of single work/assignment for different type of specialization –</b> <b>Table-1</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 10%;">S/N</th> <th style="width: 60%;">Specialization</th> <th style="width: 30%;">Completed/Substantially Completed Cost of the work (INR in Million)*</th> </tr> </thead> <tbody> <tr> <td style="text-align: center;">1</td> <td>Training and Capacity Development of Transport Sector Human Resource</td> <td style="text-align: center;">50.00</td> </tr> <tr> <td style="text-align: center;">2</td> <td>Innovative rail technologies in S&amp;T, Track and Automatic Fare Collection System (AFCS) for Metro/Railways</td> <td style="text-align: center;">100.00</td> </tr> </tbody> </table>	S/N	Specialization	Completed/Substantially Completed Cost of the work (INR in Million)*	1	Training and Capacity Development of Transport Sector Human Resource	50.00	2	Innovative rail technologies in S&T, Track and Automatic Fare Collection System (AFCS) for Metro/Railways	100.00	<p>2.3 Clause: In order to be eligible for award of marks under this criterion, the firm shall submit a copy of the Certificate(s) issued by the employer in support of the information being furnished substantiating the relevant experience in the works similar to specialization/s they seek to get empanelled for. <b>Alternatively, Payment details duly certified by Statutory Auditor / Chartered Accountant of the firm (In case firm is Indian, the Completion Certificate should be certified by generating Unique Document Identification Number (UDIN) as per Gazette Notification No.1-CA(7)/192/2019 dated 02.08.2019) shall also be considered.</b></p> <p>Firms are required to submit details of number of assignments, successfully completed/ substantially completed during last 10 years from *EOI Notification Date for specialization selected for empanelment.:</p> <p>Minimum value of single work/assignment for different type of specialization – <b>Table-1</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 10%;">S/N</th> <th style="width: 60%;">Specialization</th> <th style="width: 30%;">Completed/Substantially Completed Cost of the work (INR in Million)*</th> </tr> </thead> <tbody> <tr> <td style="height: 40px;"></td> <td></td> <td></td> </tr> </tbody> </table>	S/N	Specialization	Completed/Substantially Completed Cost of the work (INR in Million)*			
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S.No	Existing Clause			Modified Clause		
	3	Operations and Maintenance for Rail based Transit Systems	500.00	1	Training and Capacity Development of Transport Sector Human Resource	<b>25.00</b>
	4	New Energy Efficiency Projects for Public Transport authorities	20.00	2	Innovative rail technologies in S&T, Track and Automatic Fare Collection System (AFCS) for Metro/Railways	<b>50.00</b>
	5	Setting up feeder connectivity	20.00			
	6	Project Management Consultancy services for Transport Sector projects	500.00	3	Operations and Maintenance for Rail based Transit Systems	<b>250.00</b>
	7	Project Advisory Services for Transport Sector projects	25.00	4	New Energy Efficiency Projects for Public Transport authorities	<b>10.00</b>
	8	Development and Commercialization of Digital Tools for Transport Sector	20.00	5	Setting up feeder connectivity	<b>10.00</b>
	9	Non fare box revenue strategies	20.00	6	Project Management Consultancy services for Transport Sector projects	<b>250.00</b>
				7	Project Advisory Services for Transport Sector projects	<b>10.00</b>
				8	Development and Commercialization of Digital Tools for Transport Sector	<b>10.00</b>
				9	Non fare box revenue strategies	<b>10.00</b>
2.	During the evaluation of Expression of Interest (EOI) applications, NCRTC will use a marking system “technical score” out of a maximum of 100 marks, as outlined in Annexure-1 of this EOI Notification. For each specialization, a firm must score a minimum of marks out of 100 to be eligible for empanelment.			During the evaluation of Expression of Interest (EOI) applications, NCRTC will use a marking system “technical score” out of a maximum of 100 marks, as outlined in Annexure-1 of this EOI Notification. For each specialization, a firm must score a minimum of marks out of 100 to be eligible for empanelment. If a firm seeks		

S.No	Existing Clause	Modified Clause
	If a firm seeks empanelment in multiple specializations, it must achieve a minimum score of 70 marks out of 100 individually in respective specialization, as detailed in Annexure-1.	empanelment in multiple specializations, it must achieve a minimum score of <b>50 marks</b> out of 100 individually in respective specialization, as detailed in Annexure-1.
3.	Add Notes in 2.3 Clause under Section 2 of Annexure I	<p><b>For works with payment received in other than INR shall be converted in INR by adopting the Exchange rate between that Currency and INR as prevailing on the completion date mentioned in the completion certificate and if month of completion is mentioned in the Completion Certificate then the exchange rate of last working day of the Month shall be taken. The value of work in INR or converted into INR as above from the currency other than INR shall be updated by 5% per annum compounded annually upto the last day of the previous month when the firm submits its EOI</b></p> <p><b>If the submitted work(s) in support of work experience comprises of composite works, then certificate submitted by the firm shall clearly indicate the value of work/specific key activities/deliverables related to the specialisation as executed by the firm.</b></p>
4.	3.2.1 (ii) Clause: The applicants intending to use the credentials of their Parent Company/ Subsidiary Company, must submit the certificate of incorporation of Parent/Subsidiary Company and copy of Income Tax returns/net worth certificates/Turnover Certificates of Parent/Subsidiary Company of last three financial years.	3.2.1 (ii) Clause: The applicants intending to use the credentials of their Parent Company/ Subsidiary Company/ <b>Group Companies</b> , must submit the certificate of incorporation of Parent/ Subsidiary Company/ <b>Group Companies</b> and copy of Income Tax returns/net worth certificates/ Turnover Certificates of Parent/ Subsidiary Company/ <b>Group Companies</b> of last three financial years.
5.	Appendix-II: In case the applicant is intending to use the credentials of their Parent Company/Subsidiary Company, the applicant is required to submit the certificate of incorporation of Parent/Subsidiary Company and copy of Income Tax returns/net worth certificates/Turnover Certificates of Parent/Subsidiary Company of last three financial years.	Appendix-II: In case the applicant is intending to use the credentials of their Parent Company/ Subsidiary Company/ <b>Group Companies</b> , the applicant is required to submit the certificate of incorporation of Parent/Subsidiary Company/ <b>Group Companies</b> and copy of Income Tax returns/net worth certificates/Turnover Certificates of Parent/Subsidiary Company/ <b>Group Companies</b> of last three

S.No	Existing Clause	Modified Clause
		financial years.
6.	Add new Clause as 3.3.16	<b>New</b> Clause as 3.3.16: If the firm no longer wishes to remain empanelled with NCRTC then the firm may submit 1 month prior written notice to NCRTC, to this effect. Similarly, NCRTC in its discretion can also cancel empanelment of any firm by giving 1 month's prior notice.
7.	2. OBJECTIVE OF ROLLING EXPRESSION OF INTEREST (EOI) – 7. Project Advisory Services for Transport Sector Projects : NCRTC is looking to partner with firms that have a proven track record in providing Project Advisory Services, particularly in the preparation of Feasibility studies, Detailed Project Reports (DPR), Detailed Design Consultancy(DDC) and can support NCRTC in providing such services to potential clients. The ideal partner will have extensive experience, technical proficiency and requisite human resource that will allow it to jointly provide high quality services to reputed clients.	Project Advisory Services for Transport Sector Projects : NCRTC is looking to partner with firms that have a proven track record in providing Project Advisory Services <b>such as Pre Feasibility studies, Feasibility studies, Techno-Economic Feasibility, Project Reports (DPR), Detailed Design Consultancy(DDC), Transaction Advisory, Planning/Monitoring/Implementation Support etc, for transport sector</b> , and can support NCRTC in providing these services to potential clients. The ideal partner will have extensive experience, technical proficiency and requisite human resource that will allow it to jointly provide high quality services to reputed clients.
8.	2. OBJECTIVE OF ROLLING EXPRESSION OF INTEREST (EOI) - 9. Non fare box revenue strategies NCRTC is looking for partners with demonstrated expertise in revenue generating strategies such as Value Capture Finance (VCF) and Transit-Oriented Development (TOD). By collaborating with such experienced entities and leveraging NCRTC's own extensive expertise in the domain, we aim to offer solutions to other projects in the transport sector in India and abroad.	Non fare box revenue strategies – NCRTC is looking for partners with demonstrated expertise in revenue generation strategy/implementation related <b>to commercial development, advertisements, Value Capture Finance (VCF), Transit-Oriented Development (TOD) initiatives and other such revenue generating initiatives for transport sector clients</b> . By collaborating with such experienced entities and leveraging NCRTC's own extensive expertise in the domain, we aim to offer solutions to other projects in the transport sector in India and abroad.
9.	APPENDIX-II - Particulars of the Applicant- Sr No 2 - Brief description of the Company including details of its main lines of business and proposed role and responsibilities in this Project:	Brief description of the Company including <b>details of its main lines of business</b> .

## APPENDIX-VII

### Standard Format for Pre-Application Queries

S. No.	Relevant Section/ Clause No. and complete description of clause	Relevant Page No. of EOI Document	Queries	Replies (To be filled by NCRTC)						
1	<p>2.2 Annual Turn over 1. Average Annual Turnover calculated as total certified payments for contracts in progress or completed, within the last 03 (Three) audited financial years.</p> <p>Upto 25 crore - 0 marks</p> <p>&gt; 25 crore to &lt;=50 crore - 5 marks</p> <p>&gt; 50 crores to &lt;=100 crore - 10 marks</p> <p>&gt; 100 crores to &lt;=150 crore - 15 marks</p> <p>&gt; 150 crores - 20 marks</p>	13 of 25	<p>The average annual turnover of 150 Cr in last 3 years is an amount most MSME Indian firms which doesn't score and in turn restricts the participation of the Indian MSME Bidders. Considering the transport sector Consultancy Turnover for exclusive Rail business doesn't reach the 150 Cr turnover for most of the Firms. However, Considering the Road, Rail, Air, Water transport Sector turnover gives a huge disadvantage for most of the MSME firms involved in exclusive rail consulting business. Kindly revisit the clause and relax (max 50 Crores) the same for scoring considering MSME Indian firms' participation.</p>	Please refer EOI conditions.						
2	<p>2.3 Work Experience Minimum value of single work/assignment for different type of specialization – Table-1</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 15%; text-align: center;">S/N</td> <td style="width: 30%; text-align: center;">Specialization</td> <td style="width: 55%; text-align: center;">Completed/ Substantially Completed Cost of the work (INR in Million) *</td> </tr> <tr> <td style="height: 40px;"></td> <td></td> <td></td> </tr> </table>	S/N	Specialization	Completed/ Substantially Completed Cost of the work (INR in Million) *				14 of 25	<p>It is to our understanding that NCRTC is looking for business partners for upcoming Projects in India and abroad for Transport Sector projects. The transport sector projects being considered under Rail/Metro/HSR/RRTS/MRTS,</p>	Please refer Addendum & Corrigendum-3.
S/N	Specialization	Completed/ Substantially Completed Cost of the work (INR in Million) *								

	6	Project Management Consultancy services for Transport Sector projects	500		The Rail projects PMC projects being valued at INR 10 million to INR 100 million for the last 10 years. However, the MRTS/Metro projects have higher value compared to Railways but a limited number of projects. HSR are still being constructed and the completion projects are highly limited for scoring. In view of the Current situation on the PMC projects, the cost of work for eligibility to be revised. Kindly revisit the clause and consider the relaxation (INR 20.00 million) for a maximized participation.	
3	2.3 Work Experience Minimum value of single work/assignment for different type of specialization – Table-1			14 of 25	The transport sector projects being considered under Rail/Metro/HSR/RRTS/MRTS, The MRTS/ Rail/ Metro projects for Project Advisory Services being generally valued at INR 1 million to INR 10 million depending on the length and suitability of the Urban regions for the last 10 years. In view of the Current situation on the PMC projects, the cost of work for eligibility to be revised. Kindly revisit the clause and consider the relaxation (INR 3.00 million) for a maximized participation.	Please refer Addendum & Corrigendum-3.
	S/N	Specialization	Completed/ Substantially Completed Cost of the work (INR in Million) *			
	7	Project Advisory Services for Transport Sector projects	25.00			
	3. INSTRUCTIONS TO FIRMS/ APPLICANTS  3.2 Preparation of EOI Application  (ii) The applicants intending to use the credentials of their Parent Company/ Subsidiary Company, must submit the certificate of incorporation of Parent/Subsidiary Company and copy of Income Tax returns/net worth certificates/Turnover Certificates of Parent/Subsidiary Company of last three financial years.			89	We request you kindly modify this clause as  (ii) The applicants intending to use the credentials of their Parent Company/ Subsidiary Company/ Group Companies, must submit the certificate of incorporation of Parent/ Subsidiary Company/ Group Companies and copy of Income Tax returns/net worth certificates/ Turnover Certificates of	Please refer Addendum & Corrigendum-3.

			<p>Parent/ Subsidiary Company/ Group Companies of last three financial years.</p> <p>We also request you to please clarify that whether we have to give Income Tax returns/ net worth certificates/ Turnover Certificates of Parent/ Subsidiary Company/ Group Companies from Indian Operation only or worldwide.</p>	
	Section: 2.3 Objective	7	<p>1. Kindly Clarify what exactly is the objective of empanelment and how this will benefit the consultant that will get empanelled with NCRTC.</p> <p>2. Can any empanelled consultant take NCRTC as JV partners and use its credentials for participatory in any bid related to the specialization mentioned under Table 1 of Appendix – I. Kindly Clarify</p> <p>3. What Exactly is the nature of Support provided by NCRTC to the empanelled consultant. Whether it will be deployment of Key Personnel, Support Staff, Use of Credentials etc. Kindly Clarify</p>	Please refer EOI conditions.
	Section 3.3.7	10	<p>NCRTC reserve the right to choose the Partner Firm. In the event of same RFP shared by Two empanelled firms, please elaborate on criteria adopted by NCRTC to reach a decision of selecting the firm. Also, the time period is crucial in bid submission, a fixed time duration for taking this decision needs to be specified in EOI document.</p>	Please refer EOI conditions.

	Last Date/Time for Receipt of Bids		The preparation of bid documents involves extensive work in niche domain and includes heavy paperwork and collation of data. Furthermore, it requires time to formulate a team of qualified professionals suitable for their respective positions. Hence, we request the authority to extend the date of submission by at least 2 weeks from the current date of submission.	Refer Addendum & Corrigendum 2
	New Clause  Limitation of Liability		Please add a new clause for Limitation of Liability as follows: "In no circumstances shall Consultant's total liability for any direct damages under this Contract exceed the Fee paid to Consultant. Consultant shall not be liable to Client in tort (including negligence), breach of contract, breach of statutory duty or otherwise due to, under and/or arising out of or in connection with this Contract to the extent such loss or damage is consequential, indirect, special or punitive, whether or not Client had been advised of the likelihood of any such loss or damage."	Please refer EOI conditions.
	Page 8 Point 7 Project Advisory Services for Transport Sector Projects : NCRTC is looking to partner with firms that have a proven track record in providing Project Advisory services, particularly in the preparation of Feasibility studies, Detailed Project Reports (DPR), Detailed Design Consultancy(DDC) and can support NCRTC in providing these services to potential clients. The ideal partner will have extensive experience, technical proficiency and requisite human resource that will allow it to jointly provide high quality services to reputed clients.		Project Advisory includes broad scope and is a multifaceted domain. Hence, in this regard, we request the Authority to kindly amend this as - Firms that have a proven track record in providing Project Advisory services, particularly in the preparation of Feasibility/Pre-Feasibility/Techno-Economic Feasibility/Highest and Best use study/Real Estate Advisory study/Detailed Project Reports (DPR)/Detailed Design Consultancy(DDC) or	Refer Addendum & Corrigendum 3



			equivalent for any Urban Mobility Transit system i.e., Metro/ Rapid Metro Stations/ Regional Rapid Transit Systems/ Airport Terminals/Inter State Bus Terminals/ Railway Stations/ Passenger Ropeways or equivalent	
	Clients-Government/ /Private entities/ Multilateral Agency		We believe that the credentials and technical capability of the firm should be given more weightage rather than the end client being public or private. As per the RFP, we understand that the projects that need to be showcased should be from any client i.e. Government/Private entities/Multi-lateral Agency. Hence, we request the authority to kindly confirm the same	Please refer EOI conditions.
	International Projects		We believe that relevant experience of the firm should be given more weightage rather than just the geographies. Firms with wide experience in different geographies would have better experience in such relevant assignments. Hence we request the authority to kindly allow International Projects as well.	Please refer EOI conditions.
	CA Certificate		We would like to highlight that several clients refrain from providing the Completion certificates. Additionally, many of the Clients' certificates do not highlight all the project details such as cost, size, fee, duration, and Scope of work of the project. Also, there are certain NDAs signed with clients so we are restricted from sharing the Client certificates for the projects. Therefore, we request the authority to kindly allow the consultants to submit a CA Certificate / Self-attested declaration by the consultant's authorized signatory as	Refer Addendum & Corrigendum 3

			proof reflecting that the fee in lieu of the work done has been received/Percentage of the fee has been received and thus the project has been completed mentioning the project details altogether along with any LOA/LOI/ Work order/ Contract agreement released by the client.	
	CA Certificate/Self-certification against Ongoing Assignments		In case of ongoing assignments, we request the authority to kindly allow consultants to submit a CA Certificate / Self-attested declaration by the consultant's authorized signatory as proof reflecting that the fee in lieu of the work done has been received/Percentage of the fee has been received and thus the project has been completed mentioning the project details altogether along with any LOA/LOI/ Work order/ Contract agreement released by the client.	Refer Addendum & Corrigendum 3
	CV's Page 15,16 Clause 2.4 2.4 Key personnel on Permanent pay role of the Firm Certification from full time Director/CEO/MD/ CFO along with certification by practicing Chartered accountant/ Statutory Auditor.		We understand that during this stage of EOI, CVs are not to be submitted and only a self-certification mentioning that we have the required staff on permanent payroll needs to be submitted. We understand that a certification as proof of permanent staff needs to be given. In this regard, we kindly request the authority to allow Certification from a full-time Director/CEO/MD/ CFO along with an HR certificate on the company's letterhead/certification by a practicing Chartered accountant/ Statutory Auditor.	Please refer EOI conditions.

	General Query		We understand that the same projects can be showcased under different categories of empanelment. We request the authority to kindly confirm the same.	Please refer EOI conditions.
	Page 14, Table 1 Completed/Substantially Completed Cost of the work (INR in Million)*		The project cost is an estimate/tentative cost. Hence, the Exact Amount cannot be determined. We request the authority to kindly clarify this.	Refer Addendum & Corrigendum 3
	<b>2. Objective of Rolling Expression Of Interest (EoI)</b>  This EOI is to invite <b>Eligible Firms including single entities, Companies, Partnership Firms/LLPs from India and abroad</b> to express their interest in being empanelled by NCRTC for collaborating as business partners in different categories of specialization	7 of 25	Request Authority to kindly allow joint ventures/ consortiums to participate in the Rolling Expression of Interest.	Please refer EOI conditions.
	<b>3.2 Preparation of EOI Application</b>  3.2.1 (i) The application with supporting documents can be submitted in a sealed envelope duly superscribed 'Rolling Expression of Interest (EoI) for empanelment of business partners' in hard copy at the reception of Corporate office of NCRTC during office hours on any working days OR alternatively can be emailed at official email id  <ul style="list-style-type: none"> <li>• Letter comprising the application along with proposed Association for which this EOI is to be considered. (Appendix I)</li> <li>• Power of attorney authorizing the signatory to submit the application (Appendix III)</li> </ul>	9 of 25	Authority to clarify if the applicant is required to submit separate application against EOI for each specialization or a single application by an authorized represent will be acceptable.	Please refer EOI conditions.

	<p><b>3.3 Post EOI Application Submission</b></p> <p><b>3.3.4</b> During the evaluation of Expression of Interest (EOI) applications, NCRTC will use a marking system “technical score” out of a maximum of 100 marks, as outlined in Annexure-1 of this EOI Notification. For each specialization, a firm must score a minimum of marks out of 100 to be eligible for empanelment. If a firm seeks empanelment in multiple specializations, it must achieve a minimum score of 70 marks out of 100 individually in respective specialization, as detailed in Annexure-1.</p>	10 of 25	<p>As per EOI, applicant is required to submit works completed as per APPENDIX V format for each specialization.</p> <p>Considering applicants are required to undertake works that are many times cross functional and extend over various specialization as remarked in EOI</p> <p>We request Authority to clarify for evaluation of technical experience if the works submitted for evaluation, against each specialization, need to be mutually exclusive.</p>	Refer Addendum & Corrigendum 3								
	<p><b>Annexure 1</b></p> <p><b>Section-1</b></p> <p><b>1. Eligibility Criteria</b></p> <table border="1" data-bbox="259 820 1010 1386"> <thead> <tr> <th data-bbox="259 820 387 979">S. No</th> <th data-bbox="387 820 562 979">Criteria</th> <th data-bbox="562 820 786 979">Minimum Requirement for eligibility</th> <th data-bbox="786 820 1010 979">Documents Required</th> </tr> </thead> <tbody> <tr> <td data-bbox="259 979 387 1386">5</td> <td data-bbox="387 979 562 1386">Average Annual Turnover</td> <td data-bbox="562 979 786 1386">Minimum Average Annual Turnover of INR 25 Crores calculated as total certified payments for contracts in progress or completed, within</td> <td data-bbox="786 979 1010 1386">A Certificate from CA/Auditor as per Appendix-IV.</td> </tr> </tbody> </table>	S. No	Criteria	Minimum Requirement for eligibility	Documents Required	5	Average Annual Turnover	Minimum Average Annual Turnover of INR 25 Crores calculated as total certified payments for contracts in progress or completed, within	A Certificate from CA/Auditor as per Appendix-IV.	13 of 25	<p>We request Authority to kindly clarify whether submission of certificate as per Appendix IV format will suffice for evaluation or applicant is required to submit audited financial statements along with the financial certificate.</p>	Please refer EOI conditions.
S. No	Criteria	Minimum Requirement for eligibility	Documents Required									
5	Average Annual Turnover	Minimum Average Annual Turnover of INR 25 Crores calculated as total certified payments for contracts in progress or completed, within	A Certificate from CA/Auditor as per Appendix-IV.									

the last 03 (Three) audited financial years. Details to be given in Appendix- IV.

**Annexure 1**

**Section-2  
2.3 Work Experience**

Minimum value of single work/assignment for different type of specialization –

S/N	Specialization	Completed/ Substantially Completed Cost of the work (INR in Million)*
1	Training and Capacity Development of Transport Sector Human Resource	50
2	Innovative rail technologies in S&T, Track and Automatic Fare Collection System (AFCS) for Metro/Railways	100

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The threshold defined in EoI may be deterrent for applicants to participants even if they have equal capability and capacity to undertake consulting assignment. Authority may also take into consideration that only handful of assignments in transport sector in last decade over the defined threshold.

Additionally, at times many organizations award works under a single development scheme in many pieces that also impacts the over value of works for applicants.

Therefore, in order to ensure wider participation in empanelment and to demonstrate capacity and capability as business partner, we request the Authority to consider the following thresholds:

S/N	Specialization	Completed/ Substantially Completed Cost
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Refer Addendum & Corrigendum 3



			<table border="1"> <tr> <td></td> <td>Transport Sector projects</td> <td></td> <td></td> </tr> <tr> <td>7</td> <td>Project Advisory Services for Transport Sector projects</td> <td>10</td> <td></td> </tr> <tr> <td>8</td> <td>Development and commercialization of Digital Tools for Transport Sector</td> <td>4</td> <td></td> </tr> <tr> <td>9</td> <td>Non fare box revenue strategies</td> <td>4</td> <td></td> </tr> </table>		Transport Sector projects			7	Project Advisory Services for Transport Sector projects	10		8	Development and commercialization of Digital Tools for Transport Sector	4		9	Non fare box revenue strategies	4		
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S/N	Specialization																			
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	<p><b>Annexure 1</b></p> <p><b>Section-2</b></p> <p><b>2.3 Work Experience</b></p> <ul style="list-style-type: none"> <li>*The work is considered as substantially completed if 80% or more of the work is physically completed which is to be substantiated by a certificate OR if 80% of the awarded contract value or revised contract value whichever is lower has been certified for Payment.</li> <li>The firm shall attach a copy of the Certificate(s) issued by the employer in support of the information being furnished.</li> </ul>	15 of 25	<p>Due to time constraint, it may not be possible to obtain a client certificate. Which may be deterrent for wider participation in empanelment as business partner.</p> <p>As good industry practice, in many places Auditor's certificate indicating value of work done along with work order/ letter of award copy is acceptable for determining substantial completion.</p> <p>In view of above we request authority to also consider auditor certificate along with work order/ letter of award copy for evaluation of work experience.</p>	Refer Addendum & Corrigendum 3
	<p><b>Annexure 1</b></p> <p><b>Section-2</b></p> <p><b>2.3 Work Experience</b></p> <ul style="list-style-type: none"> <li>*The work is considered as substantially completed if 80% or more of the work is physically completed which is to be substantiated by a certificate OR if 80% of the awarded contract value or revised contract value whichever is lower has been certified for Payment.</li> </ul>	15 of 25	<p><b>Request to relax the substantially completed criteria to 70%.</b></p> <p><b>2.3 Work Experience</b></p> <ul style="list-style-type: none"> <li>*The work is considered as substantially completed if 70% or more of the work is physically completed which is to be substantiated by a certificate OR if 70% of the awarded contract value or revised contract value whichever is lower has been certified for Payment.</li> </ul>	Please refer EOI conditions.



	<p>This EOI is on rolling basis, meaning thereby, that initially a list of empanelled Firms shall be prepared on the basis of EOI applications <b>received up to 1700 Hrs on 19-06-2024</b></p>	2 of 25	<p>In many applicant firms each specialization is headed by respective leader and for purpose of empanelment collation of documents including preparation of work experience certificate may require some additional time.</p> <p>In view of above, we request Authority to kindly extend last date of receive applications by at least <b>two weeks say 03-07-2024</b></p>	Refer Addendum & Corrigendum 2
	<p>Post EOI Application submission Clause 3.3.8</p> <p>The empanelled partner(s) will be required to sign a non-disclosure agreement with NCRTC as per policy.</p>	10 of 25	<p>As this is REOI the clause may not be applicable at this stage. The requirement of non-disclosure agreement may be evaluated on case to case basis. Hence in view of above, we request authority to consider deleting of this clause from REOI.</p>	Please refer EOI conditions.
	<p>Confidentiality Clause 3.3.14</p> <p>Information relating to the evaluation of EOI Application and recommendation of resultant empanelment is confidential and shall not be disclosed to firms or any other persons not officially concerned with such process.</p>	10 of 25	<p>We request authority to delete this clause and replace with the following clause:</p> <p>“Except as otherwise permitted by this Agreement, neither of the parties may disclose to third parties the contents of this Agreement or any information provided by or on behalf of the other that ought reasonably to be treated as confidential and/or proprietary. Parties may, however, disclose such confidential information to the extent that it: (a) is or becomes public other than through a breach of this Agreement, (b) is subsequently received by the receiving</p>	Please refer EOI conditions.

			party from a third party who, to the receiving party's knowledge, owes no obligation of confidentiality to the disclosing party with respect to that information, (c) was known to the receiving party at the time of disclosure or is thereafter created independently, (d) is disclosed as necessary to enforce the receiving party's rights under this Agreement, or (e) must be disclosed under applicable law, legal process or professional regulations. These obligations shall be valid for a period of 3 years from the date of termination of this Agreement."	
	New Clause: Immediate Termination		We request authority to add the following clause: "The bidder may terminate this Agreement, or any particular Services, immediately upon written notice to NCRTC if the bidder reasonably determines that it can no longer provide the Services in accordance with applicable law or professional obligations."	Refer Addendum & Corrigendum 3
	New Clause: Reports and Materials		We request authority to add the following clause: "Any information, advice, recommendations or other content of any reports, presentations or other communications the Consultant provides under this Agreement ("Reports"), other than information provided by NCRTC, are for NCRTC's internal use only (consistent with the purpose of the particular Services) including NCRTC's board of directors, its audit committee, or its statutory auditors and not for disclosure	Please refer EOI conditions.

			<p>externally outside NCRTC's organization.</p> <p>The bidder may use data, software, designs, utilities, tools, models, systems and other methodologies and know-how ("Materials") that it owns in performing the Services. Notwithstanding the delivery of any Reports, the bidder retains all intellectual property rights in the Materials (including any improvements or knowledge developed while performing the Services), and in any working papers that the bidder compiles and retains in connection with the Services (but not information provided by NCRTC reflected in them)."</p>	
	<p>New clause: Limitation on Liability</p>		<p>We request authority to add the following clause:  "NCRTC (and any others for whom Services are provided) shall not recover from the bidder, in contract or tort, under statute or otherwise, any amount with respect to loss of profit, data or goodwill, or any other consequential, incidental, indirect, punitive or special damages in connection with claims arising out of this Agreement or otherwise relating to the Services, whether or not the likelihood of such loss or damage was contemplated. NCRTC (and any others for whom Services are provided) shall not recover from the bidder, in contract or tort, including indemnification obligations under this contract, under statute or otherwise, aggregate damages in excess of the fees actually paid for the Services that</p>	<p>Please refer EOI conditions.</p>





	General: Expressing Interest <b>as a Consortium</b>	General	We request clarification on whether a firm can form a consortium and if the consortium is allowed to jointly express interest in becoming a business partner to NCRTC.	Please refer EOI conditions.
	OBJECTIVE OF ROLLING EXPRESSION OF INTEREST (EOI) 6. Project Management Consultancy services for <b>Transport Sector Projects</b>	7	We understand that <b>roads and highways</b> are considered part of the transport sector. Furthermore, we kindly request that services such as <b>Authority's Engineer, Independent Engineer, Supervision Consultant, and Construction Supervision be included</b> . These services must involve the review of designs, review of QA/QC manuals, and inspection of construction works, as the scope of services covered by the mentioned roles broadly encompasses those of a PMC.	Please refer EOI conditions.
	2.3 Work Experience <b>Minimum value of single work</b> /assignment for different type of specialization	14	We understand that the <b>value of single work</b> corresponds to the project's cost submitted for evaluation. Kindly clarify.	Please refer EOI conditions.

	<p>Section -3 / clause no. 3.3.9 The mere fact that the firm has been empanelled shall not imply that each and every RFP shall be sent to them. NCRTC reserves the right to choose any of firm out of the empanelled list for any particular bid based on their credentials and bid specific requirement. NCRTC's decision in this regard shall be final. Section -2 / Clause no. 2 NCRTC is strategically positioned to explore and harness project-related business opportunities both domestically and internationally. Therefore, NCRTC is actively seeking relevant partners to collaborate with to maximize impact and success in these endeavours.</p>	<p>Page No. 10</p>	<p>A. For Open Bidding System Please provide the process and evaluation criteria that NCRTC intends to employ in the selection of optimal business partners from the pool of empanelled companies. Please inform which one of these three would be used  (i) Quality based Selection  (ii) Quality cum cost based selection  (iii) Least cost based selection  B. For Nomination Basis  (i) Which would be the process if the partner brings in lead, does the partner get RoFR ?  (ii) What would be the minimum share of fee NCRTC would like to retain ?</p>	<p>Please refer EOI conditions.</p>
	<p><b>Scope Share between the Selected Consultant / Business partner and NCRTC</b></p>		<ul style="list-style-type: none"> <li>• Please confirm, if NCRTC has any policy for scope split with the business partners. Does it has any riders?</li> <li>• The scope split will have direct bearing on the revenue. Please share NCRTC policy in regard to revenue and profile split with the business partners along with the maximum revenue which can be shared.</li> </ul>	<p>Please refer EOI Conditions.</p>

			<ul style="list-style-type: none"> <li>• In case, NCRTC decides to use multiple business partners for a specific lead, how will NCRTC decide scope and revenue split.</li> <li>• Will NCRTC share the upfront expenses/ cost involved in the initial tenure of the assignment specifically wrt the surveys/investigations, travel, etc</li> </ul>	
	Annexure-1, Section-2, 2.3 (Work Experience), Minimum value of single work/assignment for different type of specialization, Table-1, point No.7 (Project Advisory Services for Transport Sector projects)	<b>Page no. 14</b>	<p>We respectfully request a reduction in the Value of assignment (i.e., Completed/Substantially Completed Cost of the work in INR Million) for the specialization <b>"Project Advisory Services for Transport Sector Projects"</b> to <b>10.00 INR Million.</b></p> <p>Typical fee for Transport Advisory/Consultancy projects ranges between 50 Lakhs to 1.50 Crores. We are, therefore, requesting an adjustment to reflect the average of the lower and higher values within this range.</p>	Please refer addendum & corrigendum 3



1	<p><b>Section -2 / clause 2.4 Key personnel on Permanent pay role of the Firm</b>  In its endeavour, to gauge the collective professionals' expertise currently available with the firm, a <b>self-attested Statement is required to be given.</b>  Certification from full time Director/CEO/MD/CFO <b>along with certification by practicing Chartered accountant/ Statutory Auditor.</b></p>	Page No. 15-16	We request you to please consider Certificate on company Letterhead duly signed by HR Head/ authorized signatory to demonstrate the number of Experienced skilled staff on the payroll the firm. In any case Directors nominated by any government should be exempted from this.	Refer EOI conditions.
	<p><b>Section -2 / clause No. 2.3 Work Experience Table -1</b>  Minimum value of single work/assignment for different type of specialization Completed/Substantially Completed <b>Cost of the work (INR in Million)*</b></p>	Page No. 14	<p>(i) Please confirm that the cost of works mentioned under each specialization, specifically the "Completed Cost of the Work (INR in Million)", refers to the total project cost.  What are the NCRTC's Credentials w.r.t. to each one of the nine Categories</p>	Refer EOI conditions.
	<b>General</b>		<ul style="list-style-type: none"> <li>• Please confirm that under the category "Non fare box revenue strategy", the activities not specifically mentioned in the RFP can also be undertaken.</li> <li>• In case, a business partner brings in a lead, will he be the chosen partner of choice or NCRTC will deploy a selection process for it. If yes, please share the selection process for a specific business lead.</li> <li>• Additionally, in case, more than one business partner brings in the same lead, than what will be the selection process adopted by NCRTC.</li> </ul>	Refer EOI conditions

			<ul style="list-style-type: none"><li>• In case NCRTC has the business lead, what process and evaluation criteria will the NCRTC employ to engage with a particular empanelled business partner. We assume multiple companies will be empanelled for same category of work.</li><li>• Please share the credentials of NCRTC so that we can align our business interest with NCRTC and scan the market for the available opportunities which can be jointly explored.</li><li>• What will be the support provided by NCRTC in undertaking the business development and will NCRTC share the business development cost with its partners.</li><li>• Will NCRTC participate in a bidding process with its business partners? If yes, how will it select its consortium partners</li><li>• What will be the contractual arrangement with the business partners?</li><li>• Please confirm that the confidentiality of its business partners, will be maintained by NCRTC, in respect of the credentials, business process and methodology for delivering an assignment.</li></ul>	
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			<ul style="list-style-type: none"> <li>• What is the time taken in the process of Selection of Partners?</li> <li>• Will a discussion / presentation have required for selection of Partners</li> <li>• Would the process of selection have taken through e-bidding?</li> <li>• Please confirm whether EMD is required for submission proposal Will NCRTC deposit EMD and preference security for proposal being bid / persue together?</li> </ul>	
	<b>Draft Agreement</b>		We request you to kindly share the Draft Agreement between Business Partner and NCRTC.	Please refer EOI conditions
	<b>General (Date extension)</b>		We request to extend the deadline for the submission of EOI by at least 2 weeks from the date of release of response by NCRTC.	Please refer Addendum & Corrigendum 2

**Section -2, Cl. 2.3 Work Experience**  
 Minimum value of single work/assignment for different type of specialization –

Minimum value of single work/assignment for different type of specialization –  
 Table-1

S/N	Specialization	Completed/Substantially Completed Cost of the work (INR in Million)*
1	Training and Capacity Development of Transport Sector Human Resource	50.00
2	Innovative rail technologies in S&T, Track and Automatic Fare Collection System (AFCS) for Metro/Railways	100.00
3	Operations and Maintenance for Rail based Transit Systems	500.00
4	New Energy Efficiency Projects for Public Transport authorities	20.00
5	Setting up feeder connectivity	20.00
6	Project Management Consultancy services for Transport Sector projects	500.00
7	Project Advisory Services for Transport Sector projects	25.00
8	Development and Commercialization of Digital Tools for Transport Sector	20.00
9	Non fare box revenue strategies	20.00

Page No.14

The minimum value for a single work /assignment for some specializations is on a very high side. We kindly request the authority to reduce in the cost of work as below:

Please refer addendum & corrigendum 3

S.N o.	Specialization	Completed Cost of the work (Million)
1	Project Management Consultancy services for Transport Sector projects	100
2	Project Advisory Services for Transport Sector projects	15
3	Development and Commercialization of Digital Tools for Transport Sector	10
4	Non fare box revenue strategies	10

**Section -2, Cl. 2.3 Work Experience**  
**Number of contracts where firm has Participated (either as a contractor or JV partner) within the last Ten years prior to EOI Notification Date, that has been successfully or substantially completed where the total value of the firm's participation is not less than value for respective specialization mentioned in Table-1 in single contract.**

Number of contracts where firm has Participated (either as a contractor or JV partner) within the last Ten years prior to EOI Notification Date, that has been successfully or substantially completed where the total value of the firm's participation is not less than value for respective specialization mentioned in Table-1 in single contract.	Marking Criteria	Maximum Marks	Documents required
Upto 2 Nos	20	60	Letter of Award and any proof of completion as per Note mentioned below. Details to be filled as per Appendix V
> 2	10 marks for every additional experience		

Mark:-

Page No. 15

We kindly request the authority to consider relaxing the number of projects required for achieving the marks as below:

Number of contracts where firm has Participated (either as a contractor or JV partner) within the last Ten years prior to EOI Notification Date, that has been successfully or substantially completed where the total value of the firm's participation is not less than value for respective specialization mentioned in	Marking Criteria	Maximum Marks

Please Refer EOI Conditions

			<p><b>Table-1 in single contract.</b></p> <p>Upto 2 Nos      40      60</p> <p>&gt; 2      10 marks For every additional experience</p>	
	Appendix I - Letter comprising the application	17	As per our understanding, participation can be made either in the form of a JV/Consortium.	Please refer EOI conditions
	Clause 3.3.4 -: For each specialization, a firm must score a minimum of marks out of 100 to be eligible for empanelment	10	Please confirm the min marks out of 100 in each specialisation to be score to be eligible for empanelment.	Please refer Addendum and Corrigendum 3
	Annexure - 1, Section 1, Eligibility Criteria, point 5 and Section 2, point 2.2 Annual Turnover	13	<p>i) As per Section 1, point 5, the minimum average annual turnover asked for is INR 25 crore and</p> <p>ii) As per Section 2, point 2.2 Components for evaluation, only 5 marks can be obtained if the bidder meets the Min Average Annual Turnover criteria.</p> <p>To meet the technical score criteria, the bidder need to have Min Average Annual Turnover of INR 150 Crore or higher to meet the technical score.</p>	Please refer EOI Conditions

			In other words, the Section 1 point 5 criteria is superseded by Section 2, point 2.2, thereby resulting in limited participation from the aspiring bidders especially Indian players.	
4	Annexure - 1, Section 2, clause 2.3 and 2.4	14	Evaluation is on the past work experience of the bidders (for 60 marks) and the number of key personnel on the payroll of the company (for 10 marks). It is requestd to consider providing opportunity to upcoming bidders on the basis of their strength and not just the past experience.	Please refer EOI Conditions
1.	This EOI is on rolling basis, meaning thereby, that initially a list of empanelled Firms shall be prepared on the basis of EOI applications received up to 1700 Hrs on 19-06-2024.	2 of 25	Considering the Pre-EOI Conference on 11-06-2024 and the replies to be issued on 14-06-2024, and an immediate weekend may not provide for the sufficient to submit a technically sound proposal for the Empanelment.  In view of the concerns, requesting t client to consider for an extension of least 1 week from the current date submission.	Please refer Addendum & Corrigendum 2
2.	<p><b>2.2 Annual Turn over</b> 1. Average Annual Turnover calculated as total certified payments for contracts in progress or completed, within the last 03 (Three) audited financial years.</p> <p>Up to 25 crore - 0 marks</p>	13 of 25	Considering the transport sector Consultancy Turnover for exclusive Rail business doesn't reach the 150 Cr turnover for most of the Firms. However, Considering the Road, Rail, Air transport Sector turnover gives a huge disadvantage for most of the Rail Consultancy firms involved in exclusive rail consulting business.	Please refer EOI conditions

	<p>&gt; 25 crore to &lt;=50 crore - 5 marks</p>			<p>The average annual turnover of 150 Cr in last 3 years is an amount most MSME Indian firms which doesn't score and in turn restricts the participation of the Indian MSME Bidders as well.</p> <p>Kindly revisit the clause accordingly for the different verticals in transport sector, as a Exclusive Highway Firm without Substantial Rail consultancy exp may not justify the implementation/ handling of future RRTS/Rail projects.</p> <p>Requesting to relax (max 50 Crores) the same for scoring considering MSME Rail Consultancy Indian firms' participation.</p>	
	<p>&gt; 50 crores to &lt;=100 crore - 10 marks</p>				
	<p>&gt; 100 crores to &lt;=150 crore - 15 marks</p>				
	<p>&gt; 150 crores - 20 marks</p>				
3.	<p><b>2.3 Work Experience</b> Minimum value of single work/assignment for different type of specialization – Table-1</p>			14 of 25	<p>It is to our understanding that NCRTC is looking for business partners for upcoming Projects in India and abroad for Transport Sector projects. The transport sector projects being considered under Rail/Metro/ HSR/ RRTS/MRTS, The Rail projects PMC projects being valued at INR 10 million to INR 100 million for the last 10 years. However, the MRTS/Metro projects have higher value compared to Railways but a limited number of projects. HSR are still being constructed and the completion projects are highly limited for scoring. In view of the Current situation on the PMC projects, the</p>
	<b>S/N</b>	<b>Specialization</b>	<b>Completed/ Substantially Completed Cost of the work (INR in Million) *</b>		
	6	Project Management Consultancy services for Transport Sector projects	500.00		
					Please Refer Addendum and Corrigendum 3



					<p>cost of work for eligibility to be revised.</p> <p>However Highway and Airport verticals have projects valued as per the requirement, and the NCRTC's essence for inviting Consultants for RRTS projects might not be justified in a unified approach for PMC consultancy eligibility for considering a broad spectrum of transport sector.</p> <p>Kindly revisit the clause and consider the relaxation (INR 20.00 million) for a maximized participation.</p>	
<b>1</b>	<p><b>Clause 3.2.1 Part 2-</b> The applicants intending to use the credentials of their Parent Company/ Subsidiary Company, must submit the certificate of incorporation of Parent/Subsidiary Company and copy of Income Tax returns</p>			Pg 9	<p>Our organization operates as a network of member firms across 143 countries and territories. All KPMG member firms globally are part of the same global network that enables them access to, and leverage, resources, database and knowledge from all other member firms and affiliates for delivery of projects in their respective countries.</p> <p>In this regard, please advise how we can share supporting documents of certificate of incorporation and Income Tax returns of such member firms whose credentials will be provided.</p>	Please Refer Addendum and Corrigendum 3
<b>2</b>	<p><b>Clause 2.3 Work Experience Table -1</b> Minimum value of single work/assignment for different type of specialization</p>			Pg 14	<p>Advisory services in these new areas of specialization, especially in Indian context are cost efficient and undergoing growth in project typology and implementation.</p>	Please Refer Addendum and Corrigendum 3

			Hence request you to kindly reduce the minimum value of single work / assignment for following specialization:		
			<b>Specialization</b>	<b>Completed/Substantially Completed Cost of work</b>	
				<b>(Figures in INR Million)</b>	
				<b>As in RFP</b>	<b>Suggested for Modification</b>
			New Energy Efficiency Projects for Public Transport authorities	20	10
			Setting up feeder connectivity	20	10
			Project Advisory Services for Transport Sector projects	25	12.5
			Development and Commercial	20	10

				alization of Digital Tools for Transport Sector			
				Non fare box revenue strategies	20	10	
3	<b>Appendix-VI -</b> Power of Attorney for signing of Application			Pg 24	Please clarify if the Power of Attorney document is required to be submitted in hard or soft copy as EOI submission can be made in either mode.		Please refer EOI conditions
4	<b>NIT</b> Submission Deadline				We kindly request an extension of the deadline for credential submission until <b>5 July, 2024</b> . This will allow for gathering necessary details from our global firms along with supporting documents.		Please Refer Addendum and Corrigendum 3
3.	<b>2.3 Work Experience</b> Minimum value of single work/assignment for different type of specialization – Table-1			14 of 25	The transport sector projects being considered under Rail/Metro/HSR/RRTS/MRTS, The MRTS/ Rail/ Metro projects for Project Advisory Services being generally valued at INR 1 million to INR 10 million depending on the length and suitability of the Urban regions for the last 10 years. In view of the Current situation on the PAS projects, the cost of work for eligibility to be revised.  However other verticals have projects might be valued as per the requirement, and the NCRTC's essence for inviting Consultants for		Please Refer Addendum and Corrigendum 3
	S/N	Specialization	<b>Completed/ Substantially Completed Cost of the work (INR in Million) *</b>				
	7	Project Advisory Services for Transport Sector projects	25.00				

				<p>RRTS projects might not be justified in a unified approach for PMC consultancy eligibility for considering a broad spectrum of transport sector.</p> <p>Kindly revisit the clause and consider the relaxation (INR 3.00 million) for a maximized participation.</p>	
1	Clause number 2.3, work experience , point 4 – New Energy Efficiency Projects for Public Transport authorities			<p>We kindly request a reduction in the minimum assignment value specified in the Expression of Interest (EOI) criteria from 20 million to 5 million as it would allow would encourage broader participation from firms.</p>	Please Refer Addendum and Corrigendum 3
2				<p>As the Limitation of Liability is not defined in the EOI, we request you to kindly confirm that in the contract, the maximum cumulative liability of the successful Applicant will be limited to the Fee payable to the successful Applicant under the agreement. You would appreciate this is in line with the standard industry norms and the tenders / contracts issued by various government entities.</p>	Please refer EOI conditions
	Article 2.3 – Work Experience; Pg. 15			<p>In view of the qualification experience required towards this assignment, we intend to furnish our relevant international experience showcasing our capabilities in this domain. However, you may please note that having project completion certificate(s) issued by the employer / client is not a standard practice in most of these countries. Hence, may</p>	Please Refer Addendum and Corrigendum 3

			<p>we please request you to kindly confirm if the following can be submitted in lieu of a project completion certificate:</p> <ul style="list-style-type: none"> <li>• Final invoice and corresponding payment receipt</li> <li>• Any publicly available report on the project (e.g., press releases, government reports etc.)</li> <li>• An undertaking confirming the project details, value and completion date from a senior authorized signatory of our company (Managing Director / Director)</li> </ul> <p>You may please note that the above has been accepted by our other clients in India, including government entities and public sector undertakings.</p>	
	Deadline submission as 19 <sup>th</sup> June'24		We kindly request a deadline extension by at least 2 weeks post query responses.	Please Refer Addendum and Corrigendum 2
1.	Cover page, This EOI is on rolling basis, meaning thereby, that initially a list of empaneled Firms shall be prepared on the basis of EOI applications received up to 1700 Hrs on 19-06-2024.	Page 2	Request the authority to extend the EOI submission deadline by at least 2 (two) weeks after publishing replies to pre-application queries, to accommodate for necessary arrangements, company compliance, supporting documents, certificates, and other internal approvals for the application preparation.	Please Refer Addendum and Corrigendum 2

2.	Cover page, Further, applications will be admissible thereafter as well and will be evaluated periodically (or as the case may be) so new firms can be added to the approved list of empaneled firms.	Page 2	Request the authority to clarify about the periodical time or any time limitations for further empanelment.	Please refer EOI Conditions
3.	Section 3.2.1, Undertaking for restrictions under rule 144(xi) of the General Financial Rules (GFRs)-2017 – (Appendix-VI)	Page 9	Request authority to remove this requirement as based on standard industry practices, it is understood that such kind of undertakings is asked during full RFP/tender stages.	Please refer EOI Conditions
4.	Annexure 1, Section 1, Eligibility Criteria, Table, the firm should not be blacklisted by any Central/State Authority/PSU/ Govt Bodies etc., or any authority in the foreign country. They should not be under Corporate Insolvency Resolution Process (CIRP).	Page 12	Request authority to amend the clause as given:  The firm should not be blacklisted by any Central/State Authority/PSU/ Govt Bodies etc., or any authority in the foreign country <b>as on date of EOI submission</b> . They should not be under Corporate Insolvency Resolution Process (CIRP).	Please refer EOI Conditions
5.	Appendix 1, Sr No. I/ We certify that in the last three years, we have neither failed to perform on any contract, as evidenced by imposition of a penalty by an arbitral or judicial authority or a judicial pronouncement or arbitration award, nor been expelled from any project or contract by any public authority nor have had any contract terminated by any public authority for breach on our part.	Page 18	Request authority to remove this clause.	Please refer EOI Conditions

6.	Specializations for Empanelment – Sr No. 7, Project Advisory Services for Transport Sector Projects: NCRTC is looking to partner with firms that have a proven track record in providing Project Advisory Services, particularly in the preparation of Feasibility studies, Detailed Project Reports (DPR), Detailed Design Consultancy (DDC) and can support NCRTC in providing these services to potential clients. The ideal partner will have extensive experience, technical proficiency and requisite human resource that will allow it to jointly provide high quality services to reputed clients.	Page 8	For this specialization, in addition to the mentioned areas, we understand that <b>experience in transport sector consultancy services/projects such as support in planning and implementation, transaction advisory services</b> , would also be eligible for empanelment. Request authority to clarify.	Please Refer Addendum and Corrigendum 3
7.	Specializations for Empanelment – Sr No. 9, Non fare box revenue strategies – NCRTC is looking for partners with demonstrated expertise in revenue generating strategies such as Value Capture Finance (VCF) and Transit-Oriented Development (TOD). By collaborating with such experienced entities and leveraging NCRTC's own extensive expertise in the domain, we aim to offer solutions to other projects in the transport sector in India and abroad.	Page 8	For this specialization, in addition to the mentioned areas, we understand that <b>experience in projects such as commercial exploitation of land/plots, revenue maximization, alternate revenue models etc. in transport sector</b> would also be eligible for empanelment. Request authority to clarify.	Please Refer Addendum and Corrigendum 3
8.	Section 2.3, Work Experience, Table 1: <ul style="list-style-type: none"> <li>• Sr No. 7 - Specialization - Project Advisory Services for Transport Sector projects, Completed/Substantially Completed Cost of the work (INR in Million) – INR 25.00 Million.</li> <li>• Sr No. 9 - Specialization - Non fare box revenue strategies, Completed/Substantially Completed Cost of the work (INR in Million) – INR 20.00 Million.</li> </ul>	Page 14	Request authority to amend the clause as given: <ul style="list-style-type: none"> <li>• Sr No. 7 - Specialization - Project Advisory Services for Transport Sector projects, Completed/Substantially Completed Cost of the work (INR in Million) – <b>INR 7.50 Million.</b></li> <li>• Sr No. 9 - Specialization - Non fare box revenue strategies, Completed/Substantially</li> </ul>	Please Refer Addendum and Corrigendum 3

			Completed Cost of the work (INR in Million) – <b>INR 5.00 Million.</b>	
9.	Section 2.3, Work Experience, No of Projects, or Contracts for specialization areas	Page 15	Request authority to clarify if the same project or contract is eligible for other specialization mentioned in the EOI documents under application for more than one specialization empanelment.	Please refer EOI Conditions
10.	2.3 Work Experience, Notes below Table 1, Bullet no 3, The firm shall attach a copy of the Certificate(s) issued by the employer in support of the information being furnished.	Page 15	Request authority to amend the clause as given:  <b>The firm shall attach a copy of the Work Order or Contract Agreement or Certificate(s) issued by the employer/client or Certificate(s) issued by the CA/ Auditor in support of the information being furnished.</b>	Please Refer Addendum and Corrigendum 3
11.	2.4, Key personnel on Permanent pay role of the Firm, Number of Experienced skilled staff for relevant specialization (Engineers, Architect, IT expert, Transport Professionals, Training experts, project Management experts, consultants) on roll of the firm, 28 days prior to the *EOI notification date. Documents required: Certification from full time Director/CEO/MD/ CFO along with certification by practicing Chartered accountant/ Statutory Auditor.	Page 15	For documents required, request authority to amend the clause as given:  <b>Certification from full time authorized signatory from the HR department.</b>	Please refer EOI Conditions



12.	APPENDIX-II, Particulars of the Applicant, Sr No. 2, Brief description of the Company including details of its main lines of business and proposed role and responsibilities in this Project.	Page 19	<p>We understand that the application is for EOI and not for any project/RFP/tender, therefore, we request authority to amend the clause as given below:</p> <p><b>Brief description of the Company including details of its main lines of business.</b></p>	Please refer addendum & corrigendum 3
13.	APPENDIX – V, Works Completed during Last 10 years, Note: Completion certificate issued by client with signature and seal of the issuing authority to be attached.	Page 19	<p>Request authority to amend the clause as given:</p> <p><b>a copy of the Work Order or Contract Agreement or Certificate(s) issued by the employer/client or Certificate(s) issued by the CA/ Auditor in support of the information being furnished.</b></p>	Please refer Addendum & Corrigendum 3
14.	General	-	Request the authority to clarify if Joint Ventures (JVs), Consortium, etc. are allowed as an applicant to submit the application for this EOI.	Please refer EOI conditions

1	6. ANNEXURE 1- ELIGIBILITY CRITERIA Section-1 1. Eligibility Criteria 5. Average Annual Turnover Minimum Average Annual Turnover of INR 25 Crores calculated as total certified payments for contracts in progress or completed, within the last 03 (Three) audited financial years.	13	Please modify this to: Minimum Average Annual Turnover of INR 25 Crores within the last 03 (Three) audited financial years.	Please refer EOI conditions
2	6. ANNEXURE 1- ELIGIBILITY CRITERIA Section-2 2. Evaluation Methodology 2.2 Annual Turn over Average Annual Turnover calculated as total certified payments for contracts in progress or completed, within the last 03 (Three) audited financial years.	13	Please modify this to: Average Annual Turnover calculated for the last 03 (Three) audited financial years.	Please refer EOI conditions
3	6. ANNEXURE 1- ELIGIBILITY CRITERIA Section-2 2. Evaluation Methodology 2.4 Key personnel on Permanent pay role of the Firm Certification from full time Director/CEO/MD/CFO along with certification by practicing Chartered accountant/ Statutory Auditor.	15	Please accept Certification by Company Secretary/ Authorised Signatory	Please refer EOI conditions
1			What is the stand of NCRTC on IP co-development as DS products are owned by DS and licensed to the customer's for use.	Please refer EOI conditions
2			What is the criteria for selection of empaneled vendors for any particular opportunity that may come up in the future. Can the empaneled vendor take the lead for an opportunity to thereafter work with NCRTC for a vendor sourced opportunity.	Please refer EOI conditions

3			What would be the commercial arrangement in terms of the engagement with end customers.	Please refer EOI conditions
1			What is the stand of NCRTC on IP co-development as DS products are owned by DS and licensed to the customer's for use.	Please refer EOI conditions
2			What is the criteria for selection of empaneled vendors for any particular opportunity that may come up in the future. Can the empaneled vendor take the lead for an opportunity to thereafter work with NCRTC for a vendor sourced opportunity.	Please refer EOI conditions
3			What would be the commercial arrangement in terms of the engagement with end customers.	Please refer EOIX conditions

1	List of empanelled Firms shall be prepared on the basis of EOI applications received up to 1700 Hrs on 19-06-2024.	Submission Date Pg 2	Considering the extensive documentation required for successful submission of the bid, we request the Authority to kindly extend date of submission of bid by at least two weeks.	Please Refer Addendum and Corrigendum 2
2	Specialization - Non fare box revenue strategies  Completed/Substantially Completed Cost of the work (INR in Million) - 20.00	Minimum value of single work / assignment for different type of specialization Pg 14	It should be noted that projects regarding consultancy of Non fare box revenue strategies and related works are undertaken at very competitive pricing. Considering the same, we request the Authority to kindly reduced the cost of work per assignment to INR 0.5 million	Please Refer Addendum & Corrigendum 3
3	Documents Required: Letter of Award and any proof of completion as per Note mentioned below.	work Experience Pg. 15	We request the Authority to kindly allow CA certificates as proof of Completion Certificate along with agreement.	Please Refer Addendum & Corrigendum 3
4	Number of contracts where firm has Participated (either as a contractor or JV partner) within the last Ten years prior to EOI Notification Date, that has been successfully or substantially completed where the total value of the firm's participation is not less than value for respective specialization mentioned in Table 1 in single contract.	work Experience Pg. 15	We request the Authority to kindly confirm that projects under Non fare box revenue strategies shall include land feasibility studies, valuations, bid process managements etc. for in Urban Transportation Sector.	Please Refer Addendum and Corrigendum 3
5	NA	NA	Request the Authority to clarify confirm that project carried out for both private and public sector companies are eligible	Please refer EOI conditions

1	<b>Clause 2.2</b>	Submission Date Pg 2	<p>We have reviewed your partnership criteria and noted that the revenue requirement is <b>30 crores (page 13), clause [2.2]</b>. As an MSME, our current revenues are <b>[1-3 Cr]</b>, reflecting our capability and commitment to delivering top-notch software solutions.</p> <p>We request an adjustment of the revenue criteria to match our current revenue of <b>[1-3 Cr]</b>. This adjustment will enable us to collaborate and contribute to the enhancement and marketing of your Project Management Software.</p>	Please refer EOI conditions
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1 Annexure-1-Section-2  
2.3 Work experience

**2.3 Work Experience**

In order to be eligible for award of marks under this criterion, the firm shall submit documentary evidence substantiating the relevant experience in the works similar to specialization/s they seek to get empaneled for. Firms are required to submit details of number of assignments, successfully completed/ substantially completed during **last 10 years from \*EOI Notification Date for specialization** selected for empanelment

**Minimum value of single work/assignment for different type of specialization –**

**Table-1**

S/N	Specialization	Completed/Substantially Completed Cost of the work (INR in Million)*
1	Training and Capacity Development of Transport Sector Human Resource	50.00
2	Innovative rail technologies in S&T, Track and Automatic Fare Collection System (AFCS) for Metro/Railways	100.00
3	Operations and Maintenance for Rail based Transit Systems	500.00
4	New Energy Efficiency Projects for Public Transport authorities	20.00
5	Setting up feeder connectivity	20.00
6	Project Management Consultancy services for Transport Sector projects	500.00
7	Project Advisory Services for Transport Sector projects	25.00
8	Development and Commercialization of Digital Tools for Transport Sector	20.00
9	Non fare box revenue strategies	20.00

14

We understand that to achieve full marks, the total number of completed works within the last 10 years prior to the EOI Notification date should be equivalent to six completed works. These works can belong to any one of the specializations listed in Table-1. We understood that full marks can be obtained if all six completed works are within any single specialization; it is not necessary to have completed works across all the specializations mentioned in Table-1.

**Request you to Kindly confirm.**

Refer Addendum & Corrigendum 3

	<table border="1"> <thead> <tr> <th>Number of contracts where firm has Participated (either as a contractor or JV partner) within the last Ten years prior to EOI Notification Date, that has been successfully or substantially completed where the total value of the firm's participation is not less than value for respective specialization mentioned in Table-1 in single contract.</th> <th>Marking Criteria</th> <th>Maximum Marks</th> <th>Documents required</th> </tr> </thead> <tbody> <tr> <td>Upto 2 Nos</td> <td>20</td> <td rowspan="2">60</td> <td rowspan="2">Letter of Award and any proof of completion as per Note mentioned below. Details to be filled as per Appendix V</td> </tr> <tr> <td>&gt; 2</td> <td>10 marks for every additional experience</td> </tr> </tbody> </table>	Number of contracts where firm has Participated (either as a contractor or JV partner) within the last Ten years prior to EOI Notification Date, that has been successfully or substantially completed where the total value of the firm's participation is not less than value for respective specialization mentioned in Table-1 in single contract.	Marking Criteria	Maximum Marks	Documents required	Upto 2 Nos	20	60	Letter of Award and any proof of completion as per Note mentioned below. Details to be filled as per Appendix V	> 2	10 marks for every additional experience			
Number of contracts where firm has Participated (either as a contractor or JV partner) within the last Ten years prior to EOI Notification Date, that has been successfully or substantially completed where the total value of the firm's participation is not less than value for respective specialization mentioned in Table-1 in single contract.	Marking Criteria	Maximum Marks	Documents required											
Upto 2 Nos	20	60	Letter of Award and any proof of completion as per Note mentioned below. Details to be filled as per Appendix V											
> 2	10 marks for every additional experience													
2	Joint Venture	General	Kindly confirm whether a Joint Venture will be considered for this EOI for empanelment as Business Partners for collaborating with NCRTC for upcoming business opportunities in India and abroad	Please refer EOI conditions										
3	Extension of time	General	<p>We understand that queries must be submitted within the limited period from three days prior to the conference date up to three days beyond the conference date (i.e., up to 14.06.2024). However, after the submission of queries, we have only 2 working days to prepare and submit our bid, which is difficult to manage in such a limited time.</p> <p><b>Therefore, we request you to kindly extend the deadline by 2 to 3 weeks.</b></p>	Refer Addendum & Corrigendum 2										

4

**Annexure-1-Section-2  
2.3 Work experience**

**2.3 Work Experience**

In order to be eligible for award of marks under this criterion, the firm shall submit documentary evidence substantiating the relevant experience in the works similar to specialization/s they seek to get empaneled for. Firms are required to submit details of number of assignments, successfully completed/ substantially completed during **last 10 years from \*EOI Notification Date for specialization** selected for empanelment

Number of contracts where firm has Participated (either as a contractor or JV partner) within the last Ten years prior to EOI Notification Date, that has been successfully or substantially completed where the total value of the firm's participation is not less than value for respective specialization mentioned in Table-1 in single contract.	Marking Criteria	Maximum Marks	Documents required
Upto 2 Nos	20	<b>60</b>	Letter of Award and any proof of completion as per Note mentioned below. Details to be filled as per Appendix V
> 2	10 marks for every additional experience		

  

7	Project Advisory Services for Transport Sector projects	25.00
8	Development and Commercialization of Digital Tools for Transport Sector	20.00
9	Non fare box revenue strategies	20.00

14

Project management consultancy services assignments for the transport sector are bid out for smaller sections of projects and executed in a phased manner. Due to this segmented and phased approach, achieving PMC assignments for six (6) projects of Rs 50 Cr or more is difficult.

Refer Addendum & Corrigendum 3

**We, therefore, request you to relax this criterion and modify the completed/substantially completed cost of the work to Rs 40 Cr for the scoring criteria**

**1. The application with supporting documents can be submitted in hard copy at the reception of Corporate office of NCRTC during office hours on any working days OR alternatively can be emailed at official email id i.e. [bd.cell@ncrtc.in](mailto:bd.cell@ncrtc.in)**

Page 2 of 25

We understand that the EOI can be submitted in **either hard copy** at the NCRTC office or the soft copy of EOI can be **emailed to the [bd.cell@ncrtc.in](mailto:bd.cell@ncrtc.in)**, and in such case the hard copy need not be submitted.  
-Kindly confirm

Please refer EOI conditions

**2. This EOI is on rolling basis, meaning thereby, that initially a list of empanelled Firms shall be prepared on the basis of EOI applications received up to 1700 Hrs on 19-06-2024. Further, applications will be admissible thereafter as well**

Page 2 of 25

We understand that if a firm that submits their EOI for association for one or multiple categories of specialization at this stage;

Please refer EOI conditions



	and will be evaluated periodically (or as the case may be) so new firms can be added to the approved list of empanelled firms.		<ol style="list-style-type: none"> <li>1. they may apply for the <b>rest of the categories of specialization at a later stage</b>;</li> <li>2. if they are not empanelled for a certain specialisation now, <b>they may also re-apply at a later stage</b></li> </ol> <p>-Kindly confirm</p>	
3.	<p><b>2.OBJECTIVE OF ROLLING EXPRESSION OF INTEREST, Specializations for Empanelment -no. 2</b></p> <p><u>Innovative rail technologies in areas such as S&amp;T, Track, Automatic Fare:</u></p> <p><b>Collection System (AFCS) for Metro/Railways:</b>  The firm should be a recognized player having expertise in innovative rail technologies for metro and railway projects. The innovative solutions may include setting up of advanced signalling systems,  Automatic Fare Collection System predictive maintenance tools, automation solutions, among others. NCRTC is seeking strategic collaborations in these areas to offer solutions to other clients and attract business.</p>	Page 7 of 25	<p>It is not very clear form the said sub clause if a consultant having experience of conducting Feasibility study/DPR or having GC/PMC experience for metro and railway projects where such <b>innovative solutions either have been included as a part of the study or have been implemented as a component</b> would be considered for strategic collaboration.</p> <p>-Kindly clarify</p>	Please refer Addendum & Corrigendum 3
4.	<p><b>2.OBJECTIVE OF ROLLING EXPRESSION OF INTEREST, Specializations for Empanelment -no. 4</b></p> <p><u>New Energy Efficiency Projects for Public Transport Authorities:</u></p> <p>...NCRTC is looking for business partners having experience in improving fuel efficiency, integrating renewable energy sources into transportation systems, expanding electric charging infrastructure among others...</p>	Page 7 of 25	<p>It is not very clear form the said sub clause if a consultant having experience of conducting Feasibility study/DPR or having GC/PMC experience for transport sector projects where such <b>new energy-based studies have been included as a part of the entire study or have been implemented as a component</b> would be considered for collaboration.</p> <p>-Kindly clarify</p>	Please refer Addendum & Corrigendum 3

5.	<p><b>2.OBJECTIVE OF ROLLING EXPRESSION OF INTEREST, Specializations for Empanelment -no. 5</b></p> <p><b><u>Setting up feeder connectivity:</u></b>  <b>NCRTC is looking for business partners having experience in setting up feeder connecting solutions for transit systems with innovative business models including revenue generating models. By collaborating with such experienced entities and utilizing its own expertise, NCRTC aims to offer solutions to other projects in the transport sector in India and abroad.</b></p>	Page 7 of 25	<p>It is not very clear from the said sub clause if a consultant having experience of conducting Feasibility study/DPR or having GC/PMC experience for metro and railway projects where such <b>feeder connecting solutions (end mile connectivity) have been included as a part of the study or have been implemented as a component</b> would be considered for strategic collaboration.</p> <p>-Kindly clarify</p>	Please refer EOI conditions
6.	<p><b>3.2 Preparation of EOI Application</b></p> <p><b>3.2.1 (ii) The applicants intending to use the credentials of their Parent Company/ Subsidiary Company, must submit the certificate of incorporation of Parent/Subsidiary Company and copy of Income Tax returns/net worth certificates/Turnover Certificates of Parent/Subsidiary Company of last three financial years.</b></p>	Page 9 of 25	<p>We understand that <b>Certificate of incorporation with Last three financial years Turnover Certificates</b> shall suffice the requirements</p> <p>-Please confirm</p>	Please refer EOI conditions
7.	<p><b>2.3 Work Experience</b></p> <p><b>Minimum value of single work/assignment for different type of specialization – Table-1</b></p>	Page 14 of 25	<p>We have learnt from our past experiences that in Major Transport sector projects in India and abroad, a few of the categories of specialization mentioned under the said table, for example Sl. no.s 1, 2, 4 and 5 happen to be components of the projects and not a single project. In such cases Fees received by the Consultant is not specifically mentioned component wise in the completion/ performance certificates.</p> <p>Considering the above-</p> <p>1. We understand that <b>any such project which has a</b></p>	Refer Addendum & Corrigendum 3

			<p><b>component that is similar</b> to a category mentioned in the said clause would be considered as an <b>eligible Project for evaluation</b> - Kindly confirm</p> <p>2. If yes, then in such cases <b>the Total project cost / value certified by CA</b> would be considered for evaluation- Kindly consider</p> <p>3. <b>Same project can be used for different Categories of specialisation</b> in case if one project has multiple relevant components. -Kindly confirm</p>	
8.	<b>This EOI is on rolling basis, meaning thereby, that initially a list of empanelled Firms shall be prepared on the basis of EOI applications received up to 1700 Hrs on 19-06-2024.</b>	Page 2 of 25	<p>Considering the negligible time left to prepare quality expression of interests for the categories of specialisation mentioned in the REOI, we request the authority to kindly consider giving <b>at least Three (3) weeks extension</b> from the date of publishing the replies to the queries, on the current EOI submission due date. -Kindly Consider</p>	Refer Addendum & Corrigendum 2
1	Only the value of contract as executed by the firm in his own name should be indicated and shall be considered.	Section 2.3 Page no 15	We will use our group company credentials in qualifying for the required experience.	Refer Addendum & Corrigendum 3
2	The firm shall attach a copy of the Certificate(s) issued by the employer in support of the information being furnished.	Section 2.3 Page no 15	For proof of completion - we will share redacted copy of contract and copy of final invoice or proof of payment certified by internal CA.	Refer Addendum & Corrigendum 3

3	This EOI is on rolling basis, meaning thereby, that initially a list of empaneled Firms shall be prepared on the basis of EOI applications received up to 1700 Hrs on 19-06-2024.	Page 2	We kindly request Extension till 1 <sup>st</sup> July 2024 Please.	Refer Addendum & Corrigendum 2
1.	<b>Para 4</b> This EOI is on rolling basis, meaning thereby, that initially a list of empaneled Firms shall be prepared on the basis of EOI applications received up to 1700 Hrs on 19-06-2024. Further, applications will be admissible thereafter as well and will be evaluated periodically (or as the case may be) so new firms can be added to the approved list of empaneled firms.	2 of 25	We understand that if we submit our proposal two weeks after 19-06-2024 it will be admissible in the first round. Kindly Clarify the same.	Refer Addendum & Corrigendum 2
2.	<b>3.2.1</b> (i) The application with supporting documents can be submitted in a sealed envelope duly superscribed 'Rolling Expression of Interest (EOI) for empanelment of business partners' in hard copy at the reception of corporate office of NCRTC during office hours on any working days OR alternatively can be emailed at official email id i.e. bd.cell@ncrtc.in	9 of 25	We understand that we can submit our proposal either by e-mail or hard copy i.e if we have submitted our proposal by email we don't have to submit hard copy and vice-versa. Kindly confirm.	Please refer EOI conditions
3.	<b>3.2.1</b> (ii) The applicants intending to use the credentials of their Parent Company/ Subsidiary Company, must submit the certificate of incorporation of Parent/Subsidiary Company and copy of Income Tax returns/net worth certificates/Turnover Certificates of Parent/Subsidiary Company of last three financial years.	9 of 25	It is not clear from the EOI document if we can use references from affiliated/sister companies within the group. In the EOI only parent companies and subsidiaries are explicitly mentioned. Kindly Clarify the same.	Refer Addendum & Corrigendum 3

4.	<p><b>2.3</b></p> <p>In order to be eligible for award of marks under this criterion, the firm shall submit documentary evidence substantiating the relevant experience in the works similar to specialization/s they seek to get empaneled for. Firms are required to submit details of number of assignments, successfully completed/ substantially completed during last 10 years from *EOI Notification Date for specialization selected for empanelment.</p> <p><b>Minimum value of single work/assignment for different type of specialization –</b></p> <p style="text-align: center;"><b>Table-1</b></p> <table border="1" data-bbox="315 587 1039 1118"> <thead> <tr> <th>S/N</th> <th>Specialization</th> <th>Completed/Substantially Completed Cost of the work (INR in Million)*</th> </tr> </thead> <tbody> <tr> <td>1</td> <td>Training and Capacity Development of Transport Sector Human Resource</td> <td>50.00</td> </tr> <tr> <td>2</td> <td>Innovative rail technologies in S&amp;T, Track and Automatic Fare Collection System (AFCS) for Metro/Railways</td> <td>100.00</td> </tr> <tr> <td>3</td> <td>Operations and Maintenance for Rail based Transit Systems</td> <td>500.00</td> </tr> <tr> <td>4</td> <td>New Energy Efficiency Projects for Public Transport authorities</td> <td>20.00</td> </tr> <tr> <td>5</td> <td>Setting up feeder connectivity</td> <td>20.00</td> </tr> <tr> <td>6</td> <td>Project Management Consultancy services for Transport Sector projects</td> <td>500.00</td> </tr> <tr> <td>7</td> <td>Project Advisory Services for Transport Sector projects</td> <td>25.00</td> </tr> <tr> <td>8</td> <td>Development and Commercialization of Digital Tools for Transport Sector</td> <td>20.00</td> </tr> <tr> <td>9</td> <td>Non fare box revenue strategies</td> <td>20.00</td> </tr> </tbody> </table>	S/N	Specialization	Completed/Substantially Completed Cost of the work (INR in Million)*	1	Training and Capacity Development of Transport Sector Human Resource	50.00	2	Innovative rail technologies in S&T, Track and Automatic Fare Collection System (AFCS) for Metro/Railways	100.00	3	Operations and Maintenance for Rail based Transit Systems	500.00	4	New Energy Efficiency Projects for Public Transport authorities	20.00	5	Setting up feeder connectivity	20.00	6	Project Management Consultancy services for Transport Sector projects	500.00	7	Project Advisory Services for Transport Sector projects	25.00	8	Development and Commercialization of Digital Tools for Transport Sector	20.00	9	Non fare box revenue strategies	20.00	15 of 25	<p>We would like to bring to your kind attention that our similar/eligible projects (GC/PMC) are composite works which will suffice requirements for many categories but without fee split separately for all specialization which is never mentioned in our client completion certificates.</p> <p>Hence, we request the Client to kindly consider our GC/PMC similar/eligible projects which contains these specializations without referring to the cost of each component.</p> <p>Kindly confirm.</p>	Refer Addendum & Corrigendum 3
S/N	Specialization	Completed/Substantially Completed Cost of the work (INR in Million)*																																
1	Training and Capacity Development of Transport Sector Human Resource	50.00																																
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	<p>Number of contracts where firm has Participated (either as a contractor or JV partner) within the last Ten years prior to EOI Notification Date, that has been successfully or substantially completed where the total value of the firm's participation is not less than value for respective specialization mentioned in Table-1 in single contract.</p>	<p>Marking Criteria</p>	<p>Maximum Marks</p>	<p>Documents required</p>			
5.	<p><b>Key Personnel</b></p>	<p>20</p>	<p><b>60</b></p>	<p>Letter of Award and any proof of completion as per Note mentioned below. Details to be filled as per Appendix V</p>	<p>Key Personnel Pay Role of the firm, page no 15</p>	<p>We request NCRTC to kindly accept the list providing details of total number of employed persons on payroll signed by either Authorized Signatory or HR of the company.</p>	<p>Please refer EOI conditions</p>
6.	<p><b>General - Date of Submission</b></p>				<p>-</p>	<p>We are an international consulting firm and to procure information regarding various similar works requirements, POA and other statutory documents, we have to coordinate with our head office located outside India which may take minimum 1-2 weeks. Therefore, we request you to kindly extend the EOI submission date by 3 weeks after issue of pre-bid replies.</p> <p>For kind consideration.</p>	<p>Refer Addendum &amp; Corrigendum 2</p>

7.	<b>General</b>	General	We would request NCRTC to kindly provide the basic criteria for selection of empanelled consultants for any work that may be pursued in future and also kindly confirm, for all the opportunities consultants can form consortium arrangement with other shortlisted consultants.  Please confirm.	Please refer EOI conditions
8.	<b>General</b>	General	We understand that any empanelled consultant under the current EOI (i.e. NCRTC/BDIC/Rolling Empanelment), will not have any conflict of Interest in upcoming works like General Consultant, DDC works published by NCRTC for upcoming or existing corridors.  Please confirm.	Please refer EOI conditions
1	2. OBJECTIVE OF ROLLING EXPRESSION OF INTEREST (EOI) - Specializations for Empanelment  Training and Capacity Development of Transport Sector Human Resource:	7 of 25	Kindly advise if capacity building assignments may also include developing transport sector skills strategy, assessment of job potential in the transport sector.	Please refer EOI conditions
2	2.3 Work Experience  Minimum value of single work/assignment for different type of specialization –Training and Capacity Development of Transport Sector Human Resource	14 of 25	As observed in industry, standalone/single assignments focussed on training and capacity building do not have the expected value i.e. INR 500 Lakhs or INR 50 million. We request to consider changing the criteria to INR 65 Lakhs for single assignment.	Refer Addendum & Corrigendum 3

3	The firm shall attach a copy of the Certificate(s) issued by the employer in support of the information being furnished.	15 of 25	Request you to consider self-certification by signing authority as a fulfilment criterion for this condition.	Refer Addendum & Corrigendum 3																								
1.	<p>Cl. 2.3 Work Experience Point No. 7 of Table-1</p> <table border="1" data-bbox="297 392 801 836"> <thead> <tr> <th>SN</th> <th>Specialization</th> <th>Cost of Work (INR million)</th> </tr> </thead> <tbody> <tr> <td>5</td> <td>Setting up feeder connectivity</td> <td>20</td> </tr> <tr> <td>7</td> <td>Project Advisory Services for Transport Sector projects</td> <td>25</td> </tr> <tr> <td>9</td> <td>Non fare box revenue strategies</td> <td>20</td> </tr> </tbody> </table>	SN	Specialization	Cost of Work (INR million)	5	Setting up feeder connectivity	20	7	Project Advisory Services for Transport Sector projects	25	9	Non fare box revenue strategies	20	Page no. 14	<p>The Cost of work for ‘Setting up feeder connectivity’, ‘Project Advisory Services for Transport Sector projects’, and ‘Non fare box revenue strategies’ is on slightly higher side. Fees for preparing FS, DPR, strategy and solution preparations are on a lower end. We request client to kindly modify the clause as follows to encourage healthy and fair competition:</p> <table border="1" data-bbox="1317 671 1827 1118"> <thead> <tr> <th>SN</th> <th>Specialization</th> <th>Cost of Work (INR million)</th> </tr> </thead> <tbody> <tr> <td>5</td> <td>Setting up feeder connectivity</td> <td>10</td> </tr> <tr> <td>7</td> <td>Project Advisory Services for Transport Sector projects</td> <td>10</td> </tr> <tr> <td>9</td> <td>Non fare box revenue strategies</td> <td>10</td> </tr> </tbody> </table> <p><b>For kind consideration.</b></p>	SN	Specialization	Cost of Work (INR million)	5	Setting up feeder connectivity	10	7	Project Advisory Services for Transport Sector projects	10	9	Non fare box revenue strategies	10	Refer Addendum & Corrigendum 3
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9	Non fare box revenue strategies	10																										
2.	General - Eligible projects	-	<p>We understand that projects completed for Government and Private employers (clients) will be considered as eligible projects.</p> <p><b>For clarification please.</b></p>	Please refer EOI conditions.																								



3.	General – Price Escalation	-	<p>For the completed works carried out in past 10 years, a reasonable escalation in cost of work should be considered. We request client to kindly consider updating value of work done to last day of the month previous to the month of tender submission price level assuming 5% inflation for Indian Rupees every year and 2% for foreign currency portions per year.</p> <p><b>For kind consideration.</b></p>	Refer Addendum & Corrigendum 3
4.	General – Currency Exchange Rate	-	<p>We understand that for exchange rates of foreign currency for the cost of work, a consultant can consider RBI Rate of the month previous to the month of tender submission.</p> <p><b>For clarification please.</b></p>	Refer Addendum & Corrigendum 3
5.	General-Rolling EOI	-	<p>Kindly clarify that post bid submission date, a consultant can submit their proposal anytime or this EOI will be reissued for second round and then only one can submit their proposal.</p> <p><b>For clarification please.</b></p>	Refer Addendum & Corrigendum 2
6.	General- Experience Certificate	-	<p>We want to point out that we have certificates for a work having vast scope of work and no separate bifurcation of fees is available. We understand that we can claim one project experience in multiple categories.</p> <p><b>For clarification please.</b></p>	Refer Addendum & Corrigendum 3

7.	General - Date of Submission	-	<p>As we are an international consultancy firm, to get POA, experience certificates and other tender documents we have to coordinate from our HQ outside India which can take 1-2 weeks. Therefore, we request client to kindly extend REOI submission date atleast 3 weeks after issue of pre-bid replies.</p> <p><b>For kind consideration.</b></p>	Refer Addendum & Corrigendum 2
1.	<p>Clause 2</p> <p>This EOI is to invite Eligible Firms including single entities, Companies, Partnership Firms/LLPs from India and abroad</p>	Page 7,	<p>We request the authority to allow consortium/ JV Firms so that the Consortium members shall compliment the desired scope &amp; objectives of the engagement and will contribute both Technically &amp; Geographically for the overall success of the project.</p>	Please refer EOI conditions
2.	<p>clause 2(9)</p> <p>Non fare box revenue strategies – NCRTC is looking for partners with demonstrated expertise in revenue generating strategies such as Value Capture Finance (VCF) and Transit-Oriented Development (TOD). By collaborating with such experienced entities and leveraging NCRTC’s own extensive expertise in the domain, we aim to offer solutions to other projects in the transport sector in India and abroad.</p>	Page 8,	<p>We understand that most of the transport consultants have very limited experience on revenue generating strategies such as Value Capture Finance (VCF) and Transit-Oriented Development (TOD) for urban transport projects. Hence, we request the authority to modify the following criteria as:</p>	Refer Addendum & Corrigendum 3

			<p>Non fare box revenue strategies – NCRTC is looking for partners with demonstrated expertise in revenue generating strategies such as <b>commercial component development, Out Hoardings, advertisement on Display Network</b>, Value Capture Finance (VCF) and Transit-Oriented Development (TOD). By collaborating with such experienced entities and leveraging NCRTC's own extensive expertise in the domain, we aim to offer solutions to other projects in the transport sector in India and abroad.</p> <p>.</p>	
3.	<p>Clause 2.3</p> <p>Notes:</p> <p>*The work is considered as substantially completed if 80% or more of the work is physically completed which is to be substantiated by a certificate.</p> <p>OR</p> <p>if 80% of the awarded contract value or revised contract value whichever is lower has been certified for Payment.</p>	Page 15	<p>In order to allow a wider participation for getting well qualified consultants &amp; better competitive rates, we request the authority to consider the experience of ongoing assignments.</p> <p>Ongoing assignments shall be considered as experience subject to completion of 50% of work assigned/ receipt of 50% of the assignment fee by the applicant. In this regard, applicant shall enclose a certificate from the client/employer or certificate from Chattered Accountant certifying the percentage of the fee received for such assignment.</p>	Refer Addendum & Corrigendum 3

4.	<p>1. Eligibility Criteria</p> <p>Average Annual Turnover</p> <p>Minimum Average Annual Turnover of INR 25 Crores calculated as total certified payments for contracts in progress or completed, within the last 03 (Three) audited financial years. Details to be given in Appendix- IV.</p>	Page 13	<p>We request the authority to kindly increase the average annual turnover of at least INR <b>100 crores</b> as professional fees in the last three financial years.</p> <p>This shall enable firms with a proven track record in handling projects of similar nature and scale. This will encourage reputable companies to submit high-quality proposals, and provide subject matter experts with the requisite experience and expertise.</p>	Please refer EOI conditions
5.	<p>Additional Clause Additional Clause: Project Repetition &amp; Cross functionality</p>		<p>Kindly confirm whether a particular project cutting across multiple service domains/functionality can be used as project reference for multiple Specializations for Empanelment.</p>	Refer Addendum & Corrigendum 3
6.	<p>Additional Clause: Arrangement of JV/Consortium post Empanelment</p>		<p>We understand that the authority shall decide which empanelled firm it shall be partnering on case to case basis and the critical aspects for JV formation such as Indemnity, Revenue Share, Scope Split, Legal &amp; contractual arrangements shall be discussed and mutually agreed btw NCTRC and the consultant. Kindly Confirm ?</p>	Please refer EOI conditions.

7.	<p>2.3 Work Experience :</p> <p>Minimum value of single work/assignment for different type of specialization –</p> <ol style="list-style-type: none"> <li>1. Training and Capacity Development of Transport Sector Human Resource - 50.00 INR million</li> <li>2. Innovative rail technologies in S&amp;T, Track and Automatic Fare Collection System (AFCS) for Metro/Railways - 100.00 INR million</li> <li>3. Operations and Maintenance for Rail based Transit Systems - 500.00 INR million</li> <li>4. New Energy Efficiency Projects for Public Transport authorities - 20.00 INR million</li> <li>5. Setting up feeder connectivity - 20.00 INR million</li> <li>6. Project Management Consultancy services for Transport Sector projects - 500.00 INR million</li> <li>7. Project Advisory Services for Transport Sector projects - 25.00 INR million</li> <li>8. Development and Commercialization of Digital Tools for Transport Sector - 20.00 INR million</li> <li>9. Non fare box revenue strategies - 20.00 INR million</li> </ol>	Page no 14	<p>We kindly request the authority to modify the clause as:</p> <p>Minimum value of single work/assignment for different type of specialization –</p> <ol style="list-style-type: none"> <li>1. Training and Capacity Development of Transport Sector Human Resource - 10.00 INR million</li> <li>2. Innovative rail technologies in S&amp;T, Track and Automatic Fare Collection System (AFCS) for Metro/Railways - 10.00 INR million</li> <li>3. Operations and Maintenance for Rail based Transit Systems - 100.00 INR million</li> <li>4. New Energy Efficiency Projects for Public Transport authorities - 2.50 INR million</li> <li>5. Setting up feeder connectivity - 5.00 INR million</li> <li>6. Project Management Consultancy services for Transport Sector projects - 100.00 INR million</li> <li>7. Project Advisory Services for Transport Sector projects - 10.00 INR million</li> <li>8. Development and Commercialization of Digital Tools for Transport Sector - 10.00 INR million</li> <li>9. Non fare box revenue strategies - 10.00 INR million</li> </ol>	Refer Addendum & Corrigendum 3
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8.	<b>Bid Submission End Date</b>  <b>Date: 19.06.2024</b>		We have carefully examined the EOI and we are keen to participate for the and extend our services acknowledging the vision and objectives of the department. Considering the requirement of key personnel identification & other statutory compliance required, the time period given for submission is not sufficient and hence request for an extension of at least Two (2) weeks from the date of Response to queries.	Refer Addendum & Corrigendum 2
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