



National Capital Region Transport Corporation (NCRTC)

(A JV of Government of India and participating State Governments)

Rolling Expression of Interest for Empanelment of Business Partners

No. NCRTC/BDIC/Rolling Empanelment

Dated 29.05.2024

National Capital Region Transport Corporation Ltd. (NCRTC) invites **Rolling Expression of Interest (EOI)** from Interersted Firms for empanelment as Business Partners for collaborating with NCRTC for upcoming business opportunities in India and abroad.

The terms and conditions, qualification and required experience for empanelment are detailed in this EOI. The application with supporting documents in a sealed envelope duly superscribed '**Rolling Expression of Interest (EoI) for empanelment of business partners**' may be submitted in hard copy to:

Multi-Modal Integration Expert
National Capital Region Transport Corporation Ltd.
GatiShakti Bhawan,
INA, New Delhi – 110023
Tel : +91-11- 2466 6700
Fax : +91-11- 2466 6723
Email : bd.cell@ncrtc.in

The application with supporting documents can be submitted in hard copy at the reception of Corporate office of NCRTC during office hours on any working days OR alternatively can be emailed at official email id i.e. **bd.cell@ncrtc.in**

This EOI is on rolling basis, meaning thereby, that initially a list of empaneled Firms shall be prepared on the basis of EOI applications received up to 1700 Hrs on **19-06-2024**. Further, applications will be admissible thereafter as well and will be evaluated periodically (or as the case may be) so new firms can be added to the approved list of empaneled firms.

NCRTC reserves the right to cancel this request for EOI and/or invite afresh with or without amendments to this request for EOI, without liability or any obligation for such request for EOI and without assigning any reason. Information provided at this stage is indicative and NCRTC reserves its right to amend/add further details in the EOI document.

Multi-Modal Integration Expert
National Capital Region Transport Corporation Ltd.

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INTRODUCTION

1. **About NCRTC and the RRTS**

In order to enhance connectivity, empower citizens through improved access to employment, education, healthcare and economic opportunities, reduce pollution and congestion and promote balanced sustainable growth in National Capital Region (NCR), Functional Plan on Transport for NCR-2032 prepared by National Capital Region Planning Board (NCRPB) had recommended development of rail based high-speed, high-frequency Regional Rapid Transit System (RRTS) to connect city centres of metropolitan and big cities, towns and urban areas across entire NCR with a population base of 46 million (2011 census).

The erstwhile Planning Commission of India (now NITI) appointed Task Force, chaired by Secretary, MoHUA (previously MoUD) with representation from Planning Commission, Ministry of Railways and Governments of Uttar Pradesh, Haryana, Rajasthan and National Capital Territory of Delhi, prioritised three RRTS corridors for implementation In Phase - I of RRTS. The three prioritized RRTS corridors are: Delhi-Ghaziabad-Meerut, Delhi-Gurugram-Alwar and Delhi-Panipat.

RRTS is a first-of-its-kind, rail-based, high-speed, high-frequency regional transit system with design speed of 180 kmph and an average speed of 100 kmph (more than 3 times of metro rail). The project is being implemented by the National Capital Region Transport Corporation (NCRTC), a joint venture of Government of India (50%) and participating State Governments of Delhi, Rajasthan, UP. and Haryana (each having shareholding of 12.5%). NCRTC has been formed in accordance with Government of India order dated 30.07.2013.

Sanction of the first RRTS corridor, Delhi-Meerut, by Government of India was accorded in March 2019. Foundation Stone for the project was laid by Hon'ble Prime Minister of India on 8th March 2019. The Delhi-Meerut RRTS corridor is 82.15 kms long corridor with 24 stations, which will cover the distance from Sarai Kale Khan in Delhi to Modipuram in Meerut in about 60 minutes (Currently, it takes more than 3 hours to commute from Delhi to Meerut).

On October 20, 2023, Namo Bharat RRTS services on the 17 km priority section of the corridor were inaugurated by the Hon'ble Prime Minister. The priority section with 5 stations spanning from Sahibabad to Duhai Depot is under commercial operations w.e.f. 21.10.2023. Within less than 5 months, another 17 km from Duhai to Modinagar North was inaugurated by Hon'ble Prime Minister on March 6, 2024. Currently, regular services are running on this total of 34 km section and the system has already served over 1 million commuters. The entire project is on track for commissioning by its June 2025 deadline, poised to deliver the transformational benefits of this state-of-the-art mass transit system.

1.4 **Key Milestones Achieved -**

- a. **Effective Project Management** - Team NCRTC has successfully executed and is currently operating 34km of **India's first regional rail system**. Following government approvals, the construction was started in June, 2019 and a 17km priority section was made operational within about 4 years of starting construction. The project is advancing at good pace despite impact of COVID and deployment of several first of its kind technologies. As a result, NCRTC has developed inhouse expertise in planning, designing, project management and operations of complex rail-based transit systems.
- b. **Highest Design Speed in India:** A 34 km section of precast slab track system with **design speed of 180 kmph** has been operationalized for the **first time in India**. RRTS tracks support high-performance and require low maintenance thus reducing the life-cycle cost. NCRTC has also utilized a **unique IPR transfer mechanism** which facilitated high-quality, high-precision timely installation of this track system, carried out by Indian contractors.
- c. **ETCS Hybrid Level 3 Signalling over LTE Backbone:** RRTS has successfully deployed the ground-breaking **European Train Control System (ETCS) Hybrid**

Level 3 signaling system over LTE (Long-Term Evolution) communication, a global first. This pioneering system includes Automatic Train Protection (ATP), Automatic Train Operation (ATO), and Automatic Train Supervision (ATS) sub-systems which will provide high level of safety for trains running at close headways. This system is developed in compliance with **Make in India policy guidelines**.

- d. **Ground breaking Operations and Maintenance (O&M) contract:** NCRTC has successfully awarded a **pioneering 12-year O&M Contract** to DB RRTS India, a subsidiary of Deutsche Bahn (DB), Germany. It's a first-of-its-kind initiative in the country and in line with the Metro Rail Policy of 2017. This strategic partnership ensures **predictability in long-term costs, harnesses managerial efficiencies**, and promotes an entrepreneurial spirit in rail transit. This will lead to a paradigm shift in operations & maintenance in the Indian Rail sector.
- e. **Innovative Safety and Technical Certification Framework for RRTS:** Owing to the design speed of 180 Kmph, many first in the country technologies have been adopted for the RRTS sub-systems including the rolling stock, signaling, OHE, track, etc. The requisite institutional expertise for safety and technical certification of such systems is yet to be developed in the country. Therefore, with support and approval of Ministries of Housing and Urban Affairs and Railways, NCRTC adopted an innovative **framework of the safety and technical certification for the RRTS through international Independent Safety Assessors (ISAs)**.
- f. **Unprecedented Interoperability and Multi-modal integration :** In line with the Hon'ble PM's vision of Gati Shakti NMP, **RRTS corridors have been designed** to form a **large network of networks**. The three phase I corridors will be interoperable with trains moving from one corridor to another without the need for any interchange. At the same time, **extensive multimodal integration** with Delhi metro and bus network has been implemented to enable seamless and comfortable transfers across public transit modes.
- g. **Decarbonization/ Green initiatives:** NCRTC is partnering with industry leaders to implement **proactive energy management measures, reducing operational costs and utilizing renewable energy** to minimize the carbon footprint. These efforts are already shown fruition with installation of 2.21 MWp solar capacity in rooftop projects **and 2 of our stations turning carbon negative**. RRTS has also been awarded the **highest MRTS Project Award rating**, the IGBC Platinum Rating, for the Ghaziabad Receiving Substation (RSS), Sahibabad, Guldhar and Duhai RRTS station. The feature of **regenerative braking** is also being used in rolling stock which would result in energy savings of up to 30% at the equipment level and regeneration in lifts installed at stations would result in energy savings of 22%, thus lowering the overall consumption of electricity.
- h. **Deployment of NCRTC's SPEED in other organizations:** NCRTC's in-house **project management and monitoring tool SPEED (Systematic Program Evaluation For Efficient Delivery Of Project)**, streamlines project implementation by delivering periodic progress reports detailing construction status, delays, and action areas. The SPEED dashboard offers tailored options for users based on their roles and privileges. **Several other organizations are also now using SPEED for their respective projects.**
- i. **Consolidation of Domain Expertise:** NCRTC has a **team of highly trained engineers, urban & transport planners, architects, designer, IT professionals, operations experts and management specialists**. The organization also boasts in-house proficiency in **cutting-edge rail technologies such as precast ballastless track, ETCS Level 3, transport modeling, and advanced project management skills**. This diverse and qualified manpower pool,

drawn from both industry and government sectors, equips NCRTC to offer support across a wide spectrum of services.

- j. **Project Advisory Support to Other Organisations** - Team NCRTC has skilled and diverse human resource pool with **proficiency in softwares** such as PTV VISUM, VISSIM, ARC GIS, OPEN RAIL, to name a few. As a result, NCRTC is **assisting several prominent organisations with preparation of Feasibility and DPR studies**, Last/First mile connectivity, multimodal integration, and IT initiatives, offering wide range of expertise in infrastructure sector.
- k. **Pioneering TOD & Value Capture Financing (VCF) Initiatives**: Metro Rail Policy 2017 takes note of the fact that since urban rail projects are capital intensive, it is imperative **to explore alternative and innovative sources of funds to supplement the budgetary** resources. Accordingly, NCRTC has been working closely with Government of Uttar Pradesh for operationalization of VCF instruments leading to approval for the implementation of Special Amenity Fees and Urban Use Charges. NCRTC has also achieved unprecedented success in its TOD related endeavors with 3 RRTS stations in Delhi notified as TOD nodes under the TOD Policy for Delhi, 2021. Meerut City's Master Plan (2031) has become the first master plan in India to have been notified with TOD zones. Further, taking the lead, NCRTC is assisting DDA in preparation of Influence Zone Plan (IZP) for these nodes.

2. OBJECTIVE OF ROLLING EXPRESSION OF INTEREST (EOI)

Building upon its distinctive journey and expertise, NCRTC is strategically positioned to explore and harness project-related business opportunities both domestically and internationally. Therefore, NCRTC is actively seeking relevant partners to collaborate with to maximize impact and success in these endeavors.

This EOI is to invite Eligible Firms including single entities, Companies, Partnership Firms/LLPs from India and abroad to express their interest in being empaneled by NCRTC for collaborating as business partners in different categories of specialization defined below -

Specializations for Empanelment

The following is list of the Specializations for which NCRTC desires to empanel business partners:

- 1. Training and Capacity Development of Transport Sector Human Resource:** The firm should have demonstrated expertise in designing and implementing comprehensive training programs for transport sector personnel including but not limited to train operators, station controllers, technicians, civil engineers, managers. Firm must have extensive experience in areas such as enhancing technical skills, safety protocols, and management training programs, areas relevant to transport sector.
- 2. Innovative rail technologies in areas such as S&T, Track, Automatic Fare Collection System (AFCS) for Metro/Railways:** The firm should be a recognized player having expertise in innovative rail technologies for metro and railway projects. The innovative solutions may include setting up of advanced signalling systems, Automatic Fare Collection System predictive maintenance tools, automation solutions, among others. NCRTC is seeking strategic collaborations in these areas to offer solutions to other clients and attract business.
- 3. Operations and Maintenance for Rail based transit systems:** The firm should be a recognized player having experience in Operations and Maintenance for Metro Rail, Light Rail Transit, Regional Rail, Metro Neo, High speed, Semi high speed and other rail based mass transport systems. By collaborating with such experienced entities and utilizing its own expertise, NCRTC aims to offer solutions to other projects in the transport sector in India and abroad.
- 4. New Energy Efficiency Projects for Public Transport Authorities:** Energy efficiency projects for public transport authorities are crucial for optimizing resources and reducing environmental impact. NCRTC aims to develop customized solutions leveraging our expertise in energy management and sustainable practices for our clients. To support NCRTC in this endeavor, NCRTC is looking for business partners having experience in improving fuel efficiency, integrating renewable energy sources into transportation systems, expanding electric charging infrastructure among others. By collaborating with such experienced entities and utilizing its own expertise, NCRTC aims to offer solutions to other projects in the transport sector in India and abroad.
- 5. Setting up feeder connectivity:** NCRTC is looking for business partners having experience in setting up feeder connecting solutions for transit systems with innovative business models including revenue generating models. By collaborating with such experienced entities and utilizing its own expertise, NCRTC aims to offer solutions to other projects in the transport sector in India and abroad.
- 6. Project Management Consultancy services for Transport Sector Projects:** NCRTC seeks to collaborate with firms possessing a robust background in Project Management Consultancy (PMC) for transport infrastructure projects. The ideal partner will demonstrate substantial experience in providing General Consultancy (GC) and PMC services to esteemed clients within the transport sector. By

collaborating with such experienced entities and leveraging NCRTC's own expertise, we aim to offer solutions to other projects in the transport sector in India and abroad.

7. **Project Advisory Services for Transport Sector Projects :** NCRTC is looking to partner with firms that have a proven track record in providing Project Advisory Services, particularly in the preparation of Feasibility studies, Detailed Project Reports (DPR), Detailed Design Consultancy (DDC) and can support NCRTC in providing these services to potential clients. The ideal partner will have extensive experience, technical proficiency and requisite human resource that will allow it to jointly provide high quality services to reputed clients.
8. **Development and Commercialization of Digital Tools for Transport Sector:** NCRTC is looking for suitable partners to support efficient execution of transport projects through customised and comprehensive project management tools. NCRTC has also developed an in-house project management tool and is actively seeking strategic collaborations to expand its reach. Additionally, NCRTC is also looking partnerships for other sector specific tools such as Mobility as a Service platforms for enabling better multimodal integration.
9. **Non fare box revenue strategies** – NCRTC is looking for partners with demonstrated expertise in revenue generating strategies such as Value Capture Finance (VCF) and Transit-Oriented Development (TOD). By collaborating with such experienced entities and leveraging NCRTC's own extensive expertise in the domain, we aim to offer solutions to other projects in the transport sector in India and abroad.

3. INSTRUCTIONS TO FIRMS/APPLICANTS

Interested firms should provide information demonstrating required qualifications and relevant experience to perform the works related to specialization/s they seek get empaneled for. The shortlisting will be based on the firms meeting the Empanelment criteria, attached **Annexure-1** to this EOI Notification, to prove their ability to perform the Services.

3.1 Pre-EOI Application Conference

- 3.1.1 The Pre-EOI Application conference shall be held offline or online through video conference on **11.06.2024 at 1100 hrs**. Applicants intending to join the Pre-EOI Application Conference shall send a request email on the official email id i.e. **bd.cell@ncrtc.in** at least 3 (three) days prior to date of the Pre-EOI Application meeting to enable the Employer to share the link for video conferencing. In its request, the Applicant shall provide the following information:

- i. Name and address of the Firm .
- ii. Name of the authorized representative(s) of the Firm.
- iii. Email id(s) for joining the Pre-EOI Application Conference.

- 3.1.2 Firms, during the aforesaid Conference, can, if they choose to do so, present their queries on the EOI Notification to the NCRTC, in writing within the limiting period from three days prior to Conference date up to three days beyond held Conference date (query submission deadline). Firms are requested to send/submit their queries through E-Mail to **bd.cell@ncrtc.in** , in PDF & also, in MS Word as prescribed in Appendix-VII. Necessary clarification shall be provided for Pre-EOI Application queries or any amendment (as deemed necessary), in writing, by uploading on the NCRTC Website (www.ncrtc.in) against this EOI Notification, as appended under "Active EOI" for the Prospective firms to download from. Necessary clarification along with an amendment to this EOI notification (if any) shall be provided after the query submission deadline.

3.1.3 During the course of Pre-EOI Application Conference(s), the Applicants will be free to seek clarifications and make suggestions for consideration of the NCRTC. NCRTC shall endeavor to provide clarifications and such further information as it may, in its sole discretion, consider appropriate for facilitating a fair, transparent and competitive Selection Process.

3.1.4 Non-attendance at the Pre-EOI Application Conference will not be a cause for disqualification of an Applicant.

3.2 Preparation of EOI Application

3.2.1 Prospective firms are requested to carefully peruse the contents of this EOI notification and prepare their EOI application in terms of the provisions/stipulations as made in this EOI notification. In Case of any Doubt, firms are requested to seek clarifications, adopting procedure, as mentioned in the preceding Para 3.1.

(i) The application with supporting documents can be submitted in a sealed envelope duly superscribed 'Rolling Expression of Interest (EOI) for empanelment of business partners' in hard copy at the reception of Corporate office of NCRTC during office hours on any working days OR alternatively can be emailed at official email id i.e. bd.cell@ncrtc.in with the following documents:

- Letter comprising the application alongwith proposed Association for which this EOI is to be considered. (Appendix I)
- Particulars of the applicant along with Memorandum of Association and Article of Association, in case the applicant is a company (Appendix -II)
- Power of attorney authorizing the signatory to submit the application (Appendix III)
- Qualifying experience, technical & financial capacity of the applicant (Appendix-IV & V)
- Undertaking for restrictions under rule 144(xi) of the General Financial Rules (GFRs)-2017 – (Appendix-VI)
- Signed & stamped copy of this EoI document by the Authorized Signatory as token of acceptance of all terms & conditions.
- Copy of PAN Card & GST Regn of the Applicant / Applicant's company.

(ii) The applicants intending to use the credentials of their Parent Company/ Subsidiary Company, must submit the certificate of incorporation of Parent/Subsidiary Company and copy of Income Tax returns/net worth certificates/Turnover Certificates of Parent/Subsidiary Company of last three financial years.

It may be noted that in the absence of any detail in the above certificates, the information would be considered inadequate and could lead to disqualification in the evaluation process.

3.3 Post EOI Application Submission

3.3.1 To assist in evaluation of the EOI Applications, the NCRTC reserves the right to and may, at its discretion, ask any Firm for a clarification of their EOI Application. Firm shall provide Clarification within the time frame conveyed by the NCRTC in their correspondence conveying the Post EOI Application submission Query.

3.3.2 Any clarification submitted by a Firm that is not in response to a request by the NCRTC shall not be considered. The Employer's request for clarification and the response shall be in writing.

3.3.3 The applicant after submitting the response to this EOI, agree with NCRTC for honoring all aspects of fair-trade practices.

- 3.3.4 During the evaluation of Expression of Interest (EOI) applications, NCRTC will use a marking system “**technical score**” out of a maximum of 100 marks, as outlined in Annexure-1 of this EOI Notification. For each specialization, a firm must score a minimum of marks out of 100 to be eligible for empanelment. If a firm seeks empanelment in multiple specializations, it must achieve a minimum score of 70 marks out of 100 individually in respective specialization, as detailed in Annexure-1.
- 3.3.5 NCRTC will treat the information and documentation supplied by the firm in their EOI Application as correct and complete. Any misrepresentation detected in the submitted EOI Application may result in rejection of the application or cancellation of the firm's empanelment. This may also lead to blacklisting or banning of business with the firm for up to two years from the EOI Application submission date, as the case may be.
- 3.3.6 Notwithstanding anything stated above or anywhere else in this EOI Notification, the NCRTC reserves the right to assess the EOI Applicants ' eligibility i.e., their capability and capacity to perform, should the circumstances warrant such assessment in the overall interest of the NCRTC.
- 3.3.7 After Evaluation of Applications the approved list of empaneled partners for undertaking projects for engagement by the NCRTC shall be notified and informed to individual partner/firm.
- 3.3.8 The empaneled partner(s) will be required to sign a Non-Disclosure agreement with NCRTC as per policy.
- 3.3.9 The mere fact that the firm has been empanelled shall not imply that each and every RFP shall be sent to them. NCRTC reserves the right to choose any of firm out of the empanelled list for any particular bid based on their credentials and bid specific requirement. NCRTC's decision in this regard shall be final.
- 3.3.10 Specific MoU/agreement will be signed with respective partner for specific business opportunity (RFP/Tender/Bid).
- 3.3.11 If the empaneled business partner(s) is unable to quote against any query/RFP sent to them by NCRTC, regret letter must be sent, failure to do so on 03 or more occasions may result in deletion of the business partner's name from the approved list of empaneled business partners.
- 3.3.12 NCRTC reserves the right to accept or reject any or all application(s) without assigning any reason whatsoever. NCRTC's decision in this regard shall be binding and final.
- 3.3.13 All communications sent at the registered email id of the firm as provided by the firm in the Appendix-II will be considered as delivered if not bounced back. No separate communication will be sent.
- 3.3.14 **Confidentiality:-** Information relating to the evaluation of EOI Application and recommendation of resultant empanelment is confidential and shall not be disclosed to firms or any other persons not officially concerned with such process.
- 3.3.15 Any attempt by a Prospective firm to influence the NCRTC in the evaluation of the EOI Application and resultant decision therefrom may result in the rejection of its EOI Application. In this direction, any attempt by the firm to meet any other Officer, or any attempt at the submission of any written correspondence regarding this EOI Notification, to any other authority/Official, if discovered, would constitute an attempt to influence the NCRTC.

4. EMPANELMENT DURATION:

The validity of empanelment shall be for an initial period of “**Three Years**” which may be extended further for a period of 02 years based on the performance of the empanelled/shortlisted agencies. NCRTC’s decision in this regard will be final and binding.

5. JURISDICTION OF COURTS:

Any dispute arising out of this EOI shall be governed and construed in accordance with the laws of India and the Courts at Delhi/New Delhi and shall have the exclusive jurisdiction in relation thereto.

Encl: -

1. Annexure-1 - Empanelment criteria to this EOI Notification

2. Appendix to Annexure 1 (Formats to be filled)

Annexure 1

Eligibility Criteria:

The evaluation of the proposals from Firms willing to associate with NCRTC as business partners for upcoming Projects in India and abroad shall be carried out in following stages:

- ▶ **Minimum Eligibility-** All the firms must qualify the Minimum Eligibility Criteria mentioned in Section-I for further evaluation of the proposal.
- ▶ **Evaluation Methodology-** The Evaluation of the Firms capability is detailed in Section-2.

Section-1

1. Eligibility Criteria

Sl. No.	Criteria	Minimum Requirement for eligibility	Documents Required
1.	As per the restrictions under 144(xi) of the General Financial Rules (GFRs) as issued by Letter Number F.7/10/2021-PPD(1) dated 23.02.2023 of Procurement Policy Division, Department of Expenditure (DoE) under Ministry of Finance; Government of India or any subsequent amendment on the subject matter issued by Government of India, the firms, irrespective of the Country of incorporation , will have to certify that they are either not required to be registered or are registered by the Competent Authority.	All the firms, irrespective of the Country of incorporation, will have to certify that they are either not required to be registered OR are registered, if required to do so in accordance with the Policy.	Appendix- VI
2.	Establishment and Certification of The Firm	The Firm should have been established for Minimum of 5 Years, prior to the EOI Notification date.	Memorandum of Association, Certificate of Incorporation / Registration
3.	The firm should not be blacklisted by any Central/State Authority/PSU/ Govt Bodies etc., or any authority in the foreign country. They should not be under Corporate Insolvency Resolution Process (CIRP).	A certificate in this regard shall be furnished	Self-Declaration on Company letterhead not older than one month from firm's EOI submission date.
4.	Financial Standing	Firm shall furnish data regarding 'Net worth', calculated as the difference of Total assets and total liability . The firm's net worth for the last	A Certificate from CA/Auditor as per Appendix-IV.

		audited financial year should be positive given in Appendix-IV.	
5.	Average Annual Turnover	Minimum Average Annual Turnover of INR 25 Crores calculated as total certified payments for contracts in progress or completed, within the last 03 (Three) audited financial years. Details to be given in Appendix- IV.	A Certificate from CA/Auditor as per Appendix-IV.

Section-2

2. Evaluation Methodology

2.1 Establishment and Certification of Firm

Year of Establishment- The firm shall, in their EOI Application, reflect the Year of establishment for assessment as below: –

Sl. No.	Components for evaluation	Total Marks	Documents required	
1.	Number of years for which Firm has been registered and operational prior to EOI Notification Date.	10	Memorandum of Association, Certificate of Incorporation / Registration	
	<i>Upto 5 years</i>			<i>0 marks</i>
	<i>> 5 years to =< 10 years</i>			<i>5 marks</i>
	<i>> 10 years</i>			<i>10 marks</i>

2.2 Annual Turn over

Firm shall furnish the Annual turnover Data for the last three years for assessment as below:

Sl. No.	Components for evaluation	Total Marks	Documents required	
1.	Average Annual Turnover calculated as total certified payments for contracts in progress or completed, within the last 03 (Three) audited financial years.	20	Details to be given in Appendix- IV.	
	<i>Upto 25 crore</i>			<i>0 marks</i>
	<i>> 25 crore to <=50 crore</i>			<i>5 marks</i>
	<i>> 50 crores to <=100 crore</i>			<i>10 marks</i>
	<i>> 100 crores to <=150 crore</i>			<i>15 marks</i>
	<i>> 150 crores</i>			<i>20 marks</i>

2.3 Work Experience

In order to be eligible for award of marks under this criterion, the firm shall submit documentary evidence substantiating the relevant experience in the works similar to specialization/s they seek to get empaneled for. Firms are required to submit details of number of assignments, successfully completed/ substantially completed during **last 10 years from *EOI Notification Date for specialization** selected for empanelment

Minimum value of single work/assignment for different type of specialization –

Table-1

S/N	Specialization	Completed/Substantially Completed Cost of the work (INR in Million)*
1	Training and Capacity Development of Transport Sector Human Resource	50.00
2	Innovative rail technologies in S&T, Track and Automatic Fare Collection System (AFCS) for Metro/Railways	100.00
3	Operations and Maintenance for Rail based Transit Systems	500.00
4	New Energy Efficiency Projects for Public Transport authorities	20.00
5	Setting up feeder connectivity	20.00
6	Project Management Consultancy services for Transport Sector projects	500.00
7	Project Advisory Services for Transport Sector projects	25.00
8	Development and Commercialization of Digital Tools for Transport Sector	20.00
9	Non fare box revenue strategies	20.00

Number of contracts where firm has Participated (either as a contractor or JV partner) within the last Ten years prior to EOI Notification Date, that has been successfully or substantially completed where the total value of the firm's participation is not less than value for respective specialization mentioned in Table-1 in single contract.	Marking Criteria	Maximum Marks	Documents required
Upto 2 Nos	20	60	Letter of Award and any proof of completion as per Note mentioned below. Details to be filled as per Appendix V
> 2	10 marks for every additional experience		

Notes:

- *The work is considered as substantially completed if 80% or more of the work is physically completed which is to be substantiated by a certificate

OR

if 80% of the awarded contract value or revised contract value whichever is lower has been certified for Payment.

- The firm shall attach a copy of the Certificate(s) issued by the employer in support of the information being furnished.
- Only the value of contract as executed by the firm in his own name should be indicated and shall be considered. Where a work is undertaken by a group (Joint Venture), only that portion of the work which is undertaken by the concerned firm shall be considered and the remaining done by the other partners of the group shall be excluded. This is to be substantiated with a specific certificate. In case specific division of works undertaken by the group partners is not stated in the submitted documents, then the percentage participation of the firm in the group as stated in the submitted documents (JV/ agreement or Certificate) shall be considered as the value of the work done by the firm/partner of JV.

2.4 Key personnel on Permanent pay role of the Firm

In its endeavour, to gauge the collective professionals' expertise currently available with the firm, a self-attested Statement is required to be given.

Sl. No.	Components for evaluation	Total Marks	Documents required
1.	Number of Experienced skilled staff for relevant specialization (Engineers, Architect, IT expert, Transport	10	Certification from full time Director/CEO/MD/

Sl. No.	Components for evaluation	Total Marks	Documents required
	Professionals, Training experts, project Management experts, consultants) on roll of the firm, 28 days prior to the *EOI notification date.		CFO along with certification by practicing Chartered accountant/ Statutory Auditor.
	Upto 25	<i>2.5 marks</i>	
	> 25 to <=50 Nos	<i>5 marks</i>	
	> 50	<i>10 marks</i>	

* In case of Rolling EOI: Firms are required to submit credentials as mentioned in S/n 2.3 and 2.4 above, ending on the last day of the previous month when the firm submits its EOI.

APPENDIX-I

LETTER COMPRISING THE APPLICATION

(The Firm must accomplish the Letter Comprising the Application on its Letterhead clearly showing the firm's complete name and address)

Dated:

To,

.....
.....
.....

Subject: Application for Expression of Interest (EOI) for empanelment of business partners

Dear Sir,

With reference to your EOI document dated, I/we, having examined the EOI documents and understood their contents, hereby submit my/our Application for the Expression of Interest (EOI) for empanelment of business partners as opted in the **specialization table** below:-

Table-1

S/N	Specialization	Tick appropriately
1.	Training and Capacity Development of Transport Sector Human Resource	
2.	Innovative rail technologies in S&T, Track and Automatic Fare Collection System (AFCS) for Metro/Railways	
3.	Operations and Maintenance for Rail based Transit Systems	
4.	New Energy Efficiency Projects for Public Transport authorities	
5.	Setting up feeder connectivity	
6.	Project Management Consultancy services for Transport Sector projects	
7.	Project Advisory Services for Transport Sector projects	
8.	Development and Commercialization of Digital Tools for Transport Sector	
9.	Non fare box revenue strategies	

(The Firm can tick for any number of activities based on their experience).

1. I/ We certify that all information provided therein is true and correct; nothing has been omitted which renders such information misleading; and all documents accompanying the Application are true copies of their respective originals.
2. I/ We shall make available to NCRTC any additional information it may find necessary or require supplementing or authenticate the Application.
3. I/ We acknowledge the right of NCRTC to reject our Application without assigning any reason or otherwise and hereby waive, to the fullest extent permitted by applicable law, our right to challenge the same on any account whatsoever.

4. I/ We certify that in the last three years, we have neither failed to perform on any contract, as evidenced by imposition of a penalty by an arbitral or judicial authority or a judicial pronouncement or arbitration award, nor been expelled from any project or contract by any public authority nor have had any contract terminated by any public authority for breach on our part.
5. I/ We declare that:
 - (a) I/ We have examined and have no reservations to the EOI, including any Addendum issued by NCRTC.
 - (b) I/We have not directly or indirectly or through an agent engaged or indulged in any corrupt practice, fraudulent practice, coercive practice, undesirable practice, or restrictive practice, in respect of any tender or request for proposal issued by or any agreement entered into with NCRTC or any other public sector enterprise or any government, Central or State; and
 - (c) I/ We hereby certify that we have taken steps to ensure that no person acting for us or on our behalf has engaged or will engage in any corrupt practice, fraudulent practice, coercive practice, undesirable practice, or restrictive practice.
6. I/ We understand that you may cancel the Selection Process at any time and that you are neither bound to accept any Application that you may receive nor to invite the Applicants to submit Application for the Project, without incurring any liability to the Applicant.
7. I/We further certify that we are not barred/ blacklisted/ banned, (a) for business dealings with any Ministry (Government of India) or any authority in the foreign country and/or any of its attached or subordinate offices from participating in any project or for providing any services in India and in the foreign country.
8. I/We further certify that we are not under Corporate Insolvency Resolution Process (CIRP).
9. I/ We undertake that in case due to any change in facts or circumstances during the Selection Process, we are attracted by the provisions of disqualification in terms of the provisions of this EOI, we shall intimate NCRTC of the same immediately.
10. I/ We acknowledge and undertake that we shall be evaluated on the basis of Qualification Experience and Technical Capacity.
11. In the event of my/ our being declared as the Selected Applicant, I/we agree to enter into a MoU as per mutually agreed conditions.
12. I/ We have studied all the Documents carefully. We understand that except to the extent as expressly set forth in the EOI/MoU, we shall have no claim, right or title arising out of any documents or information provided to us by NCRTC or in respect of any matter arising out of or relating to the Selection Process including the selection for implementation of Project.
13. I/ We agree and understand that the Application is subject to the provisions of the EOI Documents. In no case, I/we shall have any claim or right of whatsoever nature if I/We are not selected for implementation of the Project or our Application is not opened or rejected.
14. I/ We agree and undertake to abide by all the terms and conditions of the EOI document.

In witness thereof, I/we submit this Application under and in accordance with the terms of the EOI document.

Yours faithfully,

Date: (Signature, name and designation of the Authorised Signatory)

Place: Name and seal of the Applicant Member

APPENDIX-II
Particulars of the Applicant

1. (a) Name:
(b) Country of incorporation:
(c) Address of the corporate headquarters and its branch office(s):
(d) Date of incorporation and/ or commencement of business:
2. Brief description of the Company including details of its main lines of business and proposed role and responsibilities in this Project:
3. Particulars of individual(s) who will serve as the point of contact/ communication for the Applicant:
 - (a) Name:
 - (b) Designation:
 - (c) Company:
 - (d) Address:
 - (e) Telephone Number:
 - (f) E-Mail Address:
4. Particulars of the Authorised Signatory of the Applicant:
 - (a) Name:
 - (b) Designation:
 - (c) Address:
 - (d) Phone Number:

Note:-

1. Please also attach Memorandum of Association, Certificate of Incorporation / Registration and Article of Association.
2. In case the applicant is intending to use the credentials of their Parent Company/ Subsidiary Company, the applicant is required to submit the certificate of incorporation of Parent/Subsidiary Company and copy of Income Tax returns/net worth certificates/Turnover Certificates of Parent/Subsidiary Company of last three financial years.

APPENDIX-III

Power of Attorney for signing of Application

(To be executed on non-judicial stamp paper of the appropriate value in accordance with relevant stamp Act. The stamp paper to be in the name of the firm who is issuing the power of Attorney)

Know all men by these presents, We..... (name of the firm and address of the registered office) do hereby irrevocably constitute, nominate, appoint and authorise Mr/ Ms (name), son/daughter/wife of and presently residing at, who is presently employed with us and holding the position of, as our true and lawful attorney (hereinafter referred to as the "Attorney") to do in our name and on our behalf, all such acts, deeds and things as are necessary or required in connection with or incidental to submission of our Application for Expression of Interest (EoI) for empanelment of business partners being empanelled by the National Capital Region Transport Corporation including but not limited to signing and submission of all applications and other documents and writings, participate in Pre-Application Conferences and other conferences and providing information/ responses to the Employer, representing us in all matters before the Employer, signing and execution of all contracts including the MoU and undertakings consequent to acceptance of our Application, and generally dealing with the Employer in all matters in connection with or relating to or arising out of our Application for the said project and/ or upon selection thereof of us and/or till the entering into the MoU with the Employer.

AND we hereby agree to ratify and confirm and do hereby ratify and confirm all acts, deeds and things done or caused to be done by our said Attorney pursuant to and in exercise of the powers conferred by this Power of Attorney and that all acts, deeds, and things done by our said Attorney in exercise of the powers hereby conferred shall and shall always be deemed to have been done by us.

IN WITNESS WHEREOF WE,, THE ABOVE-NAMED PRINCIPAL HAVE EXECUTED THIS POWER OF ATTORNEY ON THIS DAY OF 2....

.....

(Signature)

(Name, Title and address) of the Person Accepting the POA.

.....

(Signature)

(Name, Title and address) of the Person issuing the POA.

(Notarised)

Witnesses:

1.

2.

Accepted

.....

(Signature)

(Name, Title, and Address of the Attorney)

Note:

(i) The firm should submit the notarized Power of Attorney. In case of Foreign Partners, Power of Attorney(s) and Board Resolution, constitution of the legal entity, memorandum & articles

of association or other valid legal instruments acceptable to the Employer confirming authority on the persons issuing the Power of Attorney for such actions shall be submitted duly notarized by the notary public of country of origin and should be either stamped by Embassy/High Commission of India in firm's Country or firms from Partner Countries of Hague convention may submit these documents with "Apostille" stamp. Also, in case these documents are in foreign language the translation of the same shall be authenticated by Embassy/High Commission of India in firm's Country.

**APPENDIX-IV
(Financial Form)**

Name of the Firm _____

Financial Data from Balance Sheet for Previous 3 Years [Amount INR Equivalent]			
	Year 1*	Year 2	Year 3
Total Assets (TA)			
Total Liabilities (TL)			
Net Worth = TA – TL			
Average Annual Turnover			

*Year 1 shall be the latest audited financial year

Certified that all figures and facts submitted in this form have been furnished after full consideration of all observations/notes in Auditor's reports.

SEAL AND SIGNATURE OF THE FIRM/APPLICANT

(Signature of CA/Auditor)

Name of CA/Auditor: _____

Registration No: _____

(Seal)

UDIN No. _____

- | |
|--|
| <p><input type="checkbox"/> The contents of this Form should be certified by a Chartered Accountant/Auditor (In case firm is Indian, the Form should be certified by generating Unique Document Identification Number (UDIN) as per Gazette Notification No.1-CA (7)/192/2019 dated 02.08.2019), failing which Employer has right to reject the Application.</p> |
| <p><input type="checkbox"/> In case of Financial Statements are in currency(s) other than INR, in addition to above, firm shall also provide above information in separate sheet duly certified by Chartered Accountant/Auditor (In case firm is Indian, the Form should be certified by generating Unique Document Identification Number (UDIN) as per Gazette Notification No.1-CA(7)/192/2019 dated 02.08.2019) in INR equivalent by adopting exchange rates between that Currency and INR as on last day of the respective financial year.</p> |

APPENDIX – V

Works Completed during Last 10 years

(Firms are required to submit separate Appendix-V for each Specialization (applied for empanelment) as mentioned in Table-1 i.e. specialization table of Appendix -I along with required certificates issued by client.)

S N	Description of Work Completed	Contract Awarding Authority , Name of Country	Agreement No. & Date	Cost of Work	Date of Commence ment of work	Date of Completion as per agreement	Actual Date of Completion	Remarks

Note: Completion certificate issued by client with signature and seal of the issuing authority to be attached.

Firm's Representative:

Signature:

Name:

Position:

Date:

Company:

Company stamp:

APPENDIX-VI

UNDERTAKING FOR RESTRICTIONS UNDER RULE 144(xi) OF THE GENERAL FINANCIAL RULES (GFRs)-2017 AS ISSUED BY LETTER NUMBER F.7/10/2021-PPD(1) DATED 23.02.2023 OF PROCUREMENT POLICY DIVISION, DEPARTMENT OF EXPENDITURE (DOE) UNDER MINISTRY OF FINANCE, GOVERNMENT OF INDIA OR ANY SUBSEQUENT AMENDMENT ON THE SUBJECT MATTER ISSUED BY GOVERNMENT OF INDIA TILL DEADLINE FOR BID SUBMISSION

Wehave read the Orders of Government of India regarding restrictions on procurement under Rule 144(xi) of the General Financial Rules We hereby certify that we fulfil all the requirements under Orders of Government of India and are eligible to be considered as follows:

- i. We come under the details of firms who are required to be registered with Competent Authority as per para 2 & 3 of the orders of Government of India and are registered with Competent Authority vide registration no..... valid upto (attached with this form)

- ii. We do not come under the details of firms who are required to be registered with Competent Authority as per para 2 & 3 of the orders of Government of India.

(Strike out (i) or (ii) whichever is not applicable. In case of (i), registration should be valid at the time of submission of bids and at the time of acceptance of bids)

We also undertake that we shall not sub-contract any work to an entity who comes under the details of firms who are required to be registered with Competent Authority as per para 2 & 3 of the orders of Government of India, unless such entity(ies) are registered with Competent Authority.

We further undertake that in case the work is awarded to us and at any point of time before completion of the work, if we cease to comply the orders mentioned herein above, we are bound to notify the same to the Employer as soon as possible but not later than 28 days of such change in status.

**STAMP & SIGNATURE OF AUTHORISED
SIGNATORY**

APPENDIX-VII

Standard Format for Pre-Application Queries

Name of Applicant:

S. No.	Relevant Section/ Clause No. and complete description of clause	Relevant Page No. of EOI Document	Queries	Replies (To be filled by NCRTC)

Note: The Applicant may also provide the editable copy (Excel/ Word) of Pre-Application Queries for the compilation purpose on bd.cell@ncrtc.in.